

Review of Breast Cancer ASCO abstracts
(neo-adjuvant and metastatic)
GASCO annual meeting
August 27th 2011, Atlanta, GA

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Ruth M. O'Regan, MD

Associate Professor of Hematology
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Louisa and Rand Glenn Family Chair in
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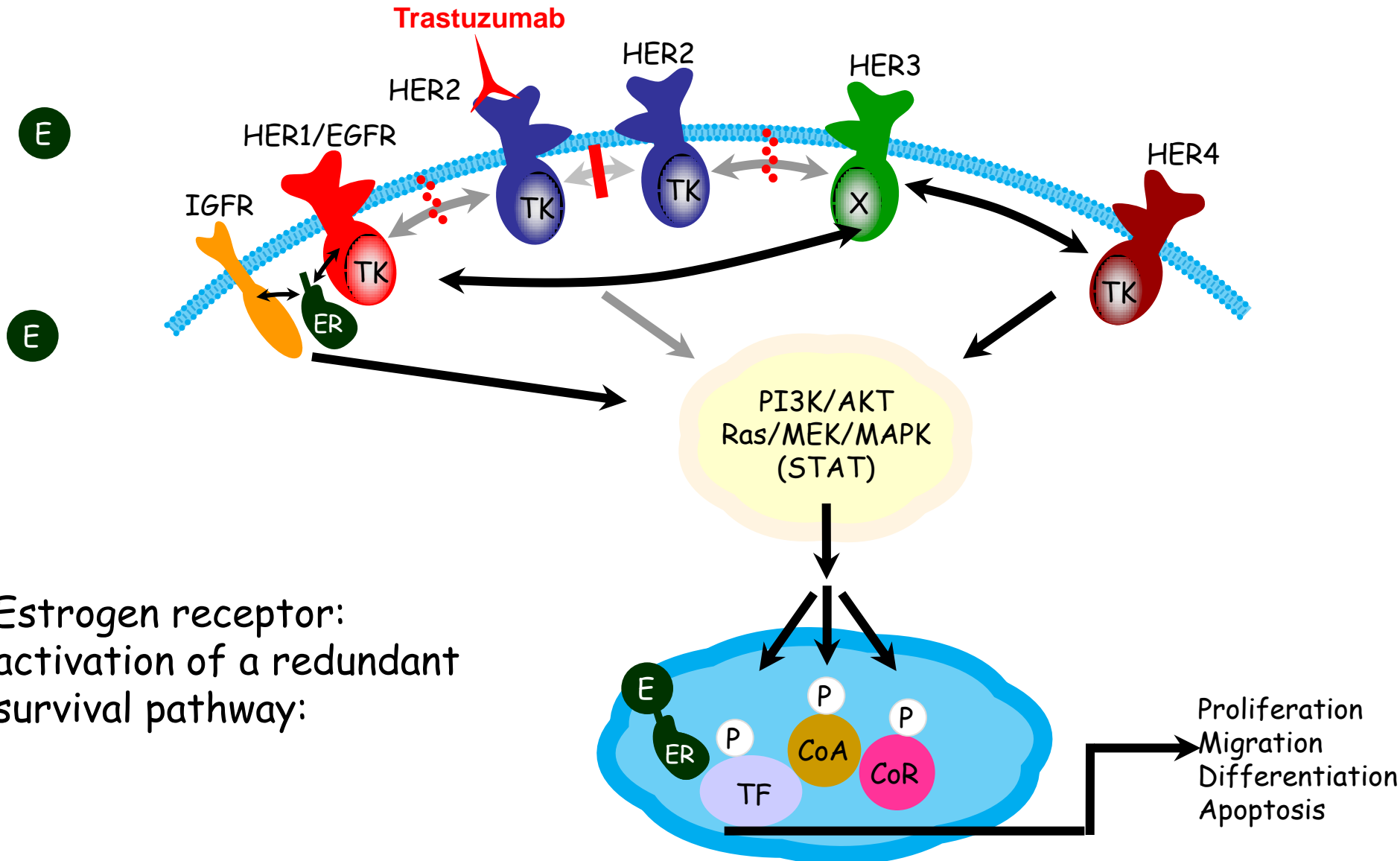


TBCRC 006: A multicenter phase II study of neoadjuvant lapatinib and trastuzumab without chemotherapy in patients with HER2 overexpressing breast cancer

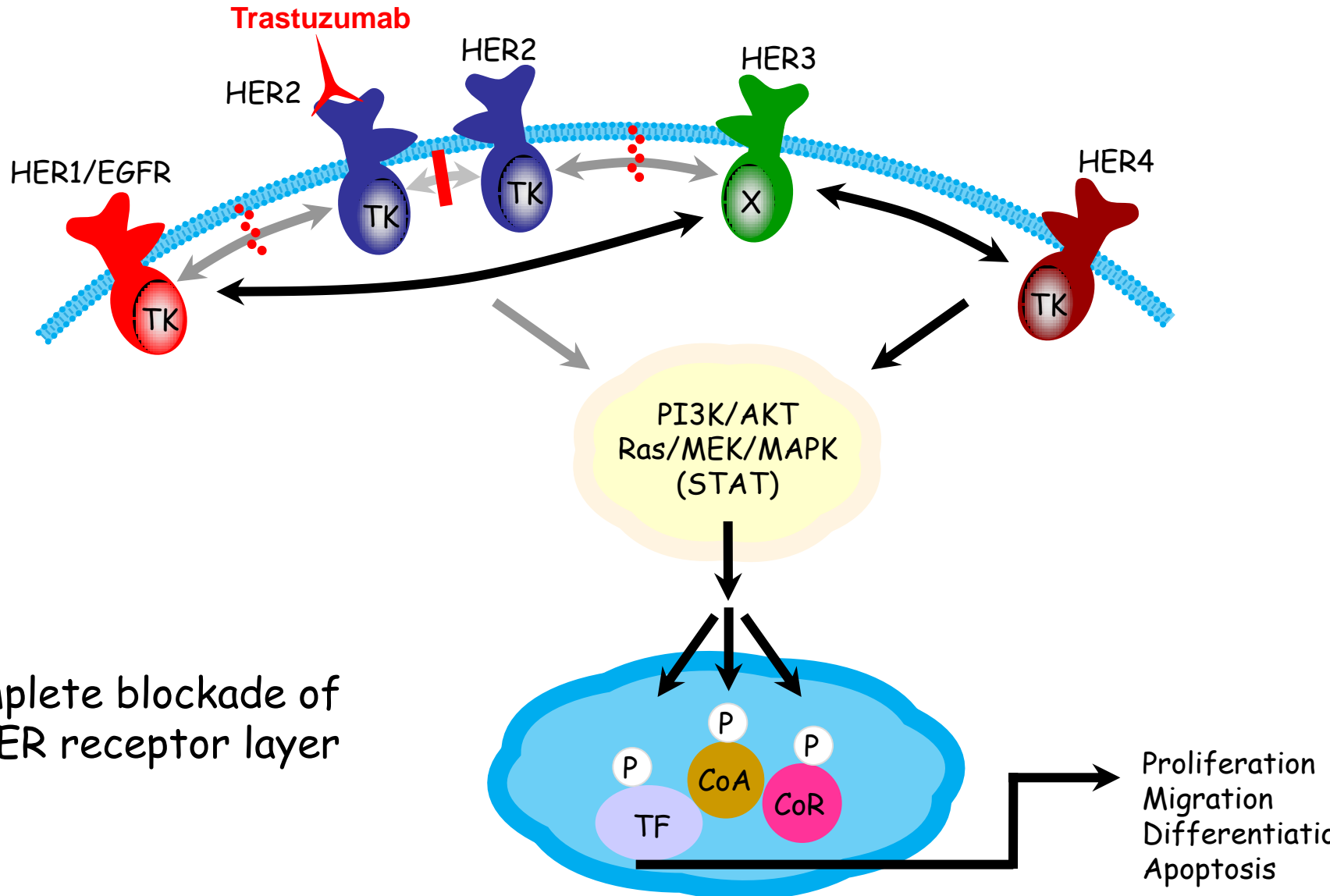
Jenny C. Chang, I. A. Mayer, A. Forero-Torres, R. Nanda, M. P. Goetz, A. A. Rodriguez, A.C. Pavlick, T. Wang, S. G. Hilsenbeck, C. Gutierrez, R. Schiff, C. K. Osborne, M. F. Rimawi

Abstract 505

Mechanisms of Resistance to HER Targeted Therapy

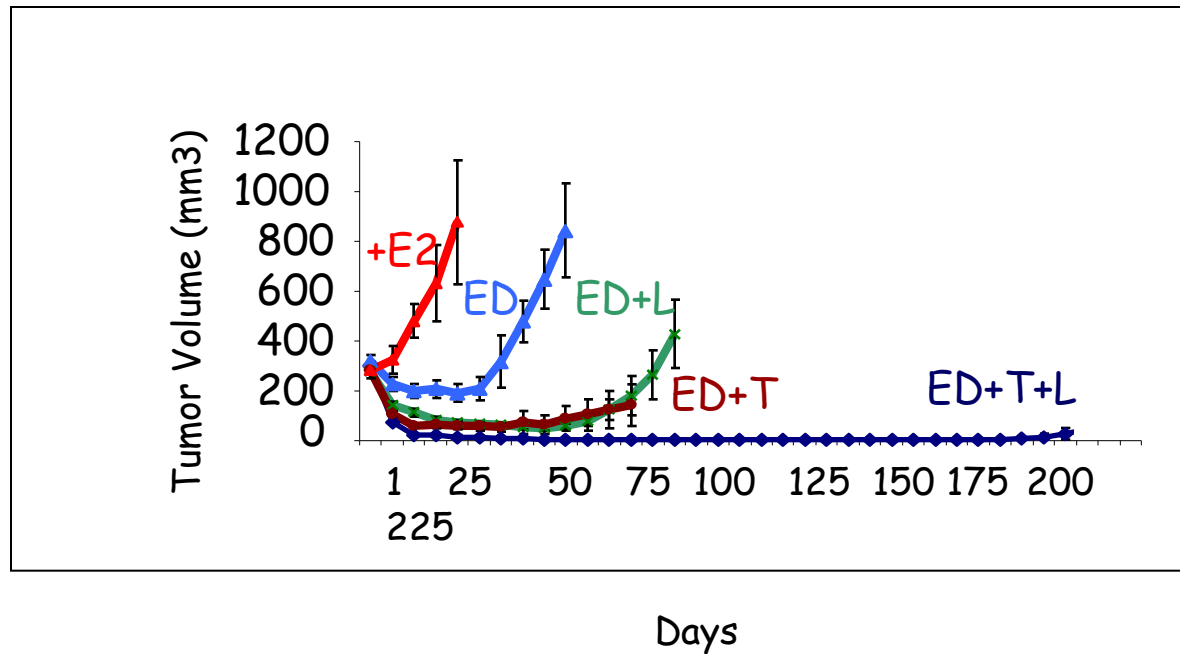


Mechanisms of Resistance to HER Targeted Therapy



Superiority of Dual Blockade In Xenograft Models

Estrogen Deprivation (ED)



T - Trastuzumab
L - lapatinib
L+T - Trast + Lap

Neoadjuvant HER2 Targeted Therapy

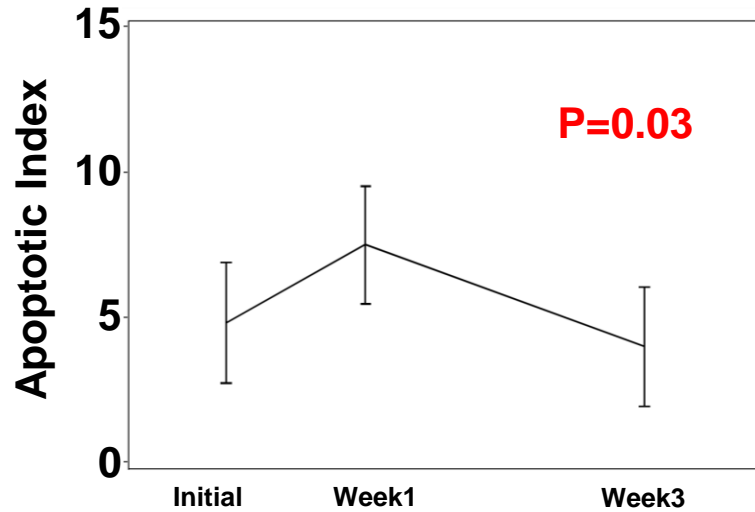
- Two sequential neoadjuvant trials
 - Trastuzumab: from 2000 to 2005
 - Lapatinib: from 2005 to 2008
- IHC 3+ / FISH amplified
- Locally advanced breast cancers (Med = 10 and 6 cm)
- Primary cancers amenable to serial biopsies
- Adequate cardiac function
- ECOG PS 0, 1

S Mohsin ...J Chang (2005). JCO; 23:2460-2468
B Dave B...J Chang (2011). JCO; 29 : 166-173

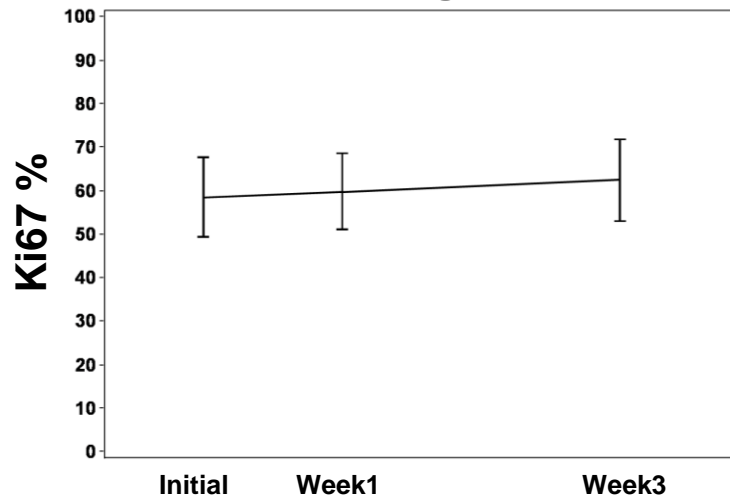
Mechanism of Action: T vs. L

Trastuzumab:

Increase in Apoptosis



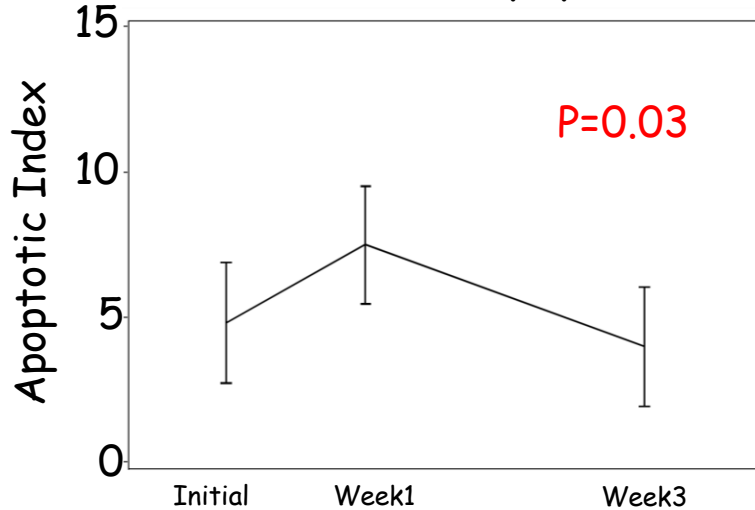
No change in Ki67



Mechanism of Action: T vs. L

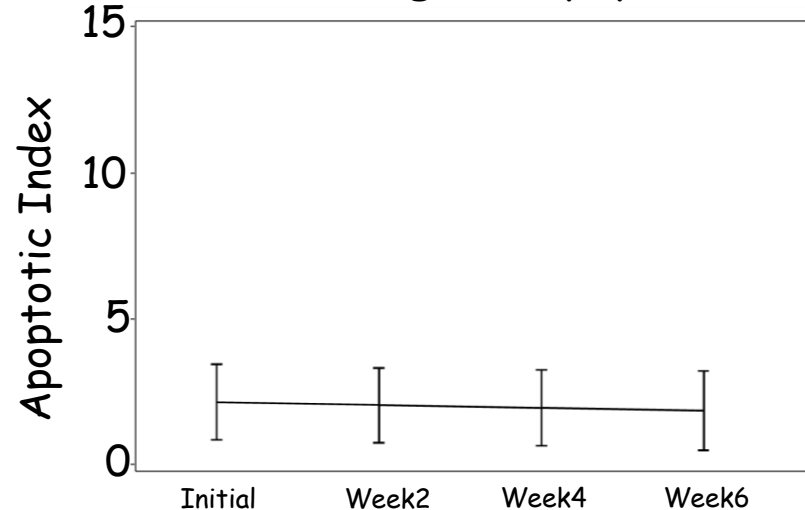
Trastuzumab:

Increase in Apoptosis

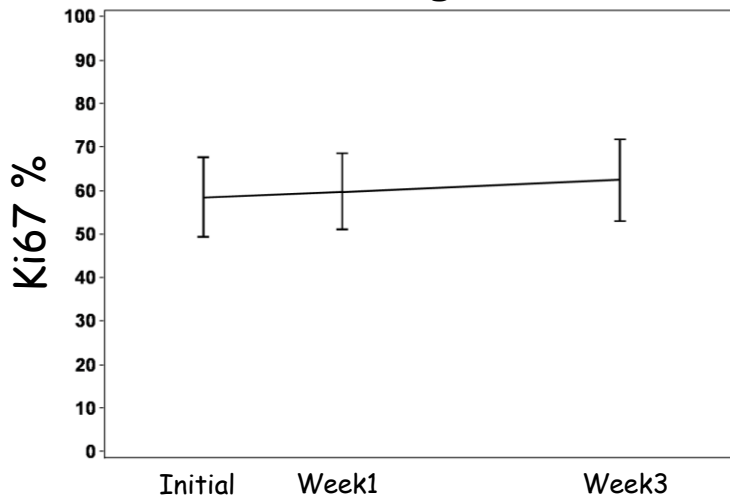


Lapatinib:

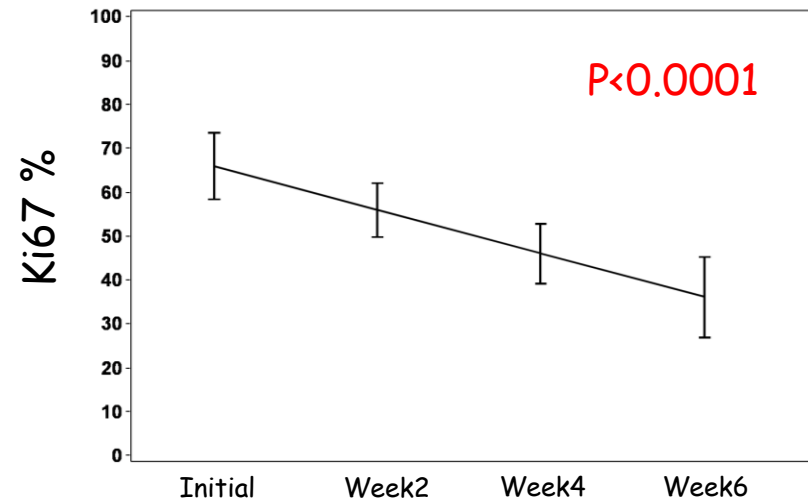
No change in Apoptosis



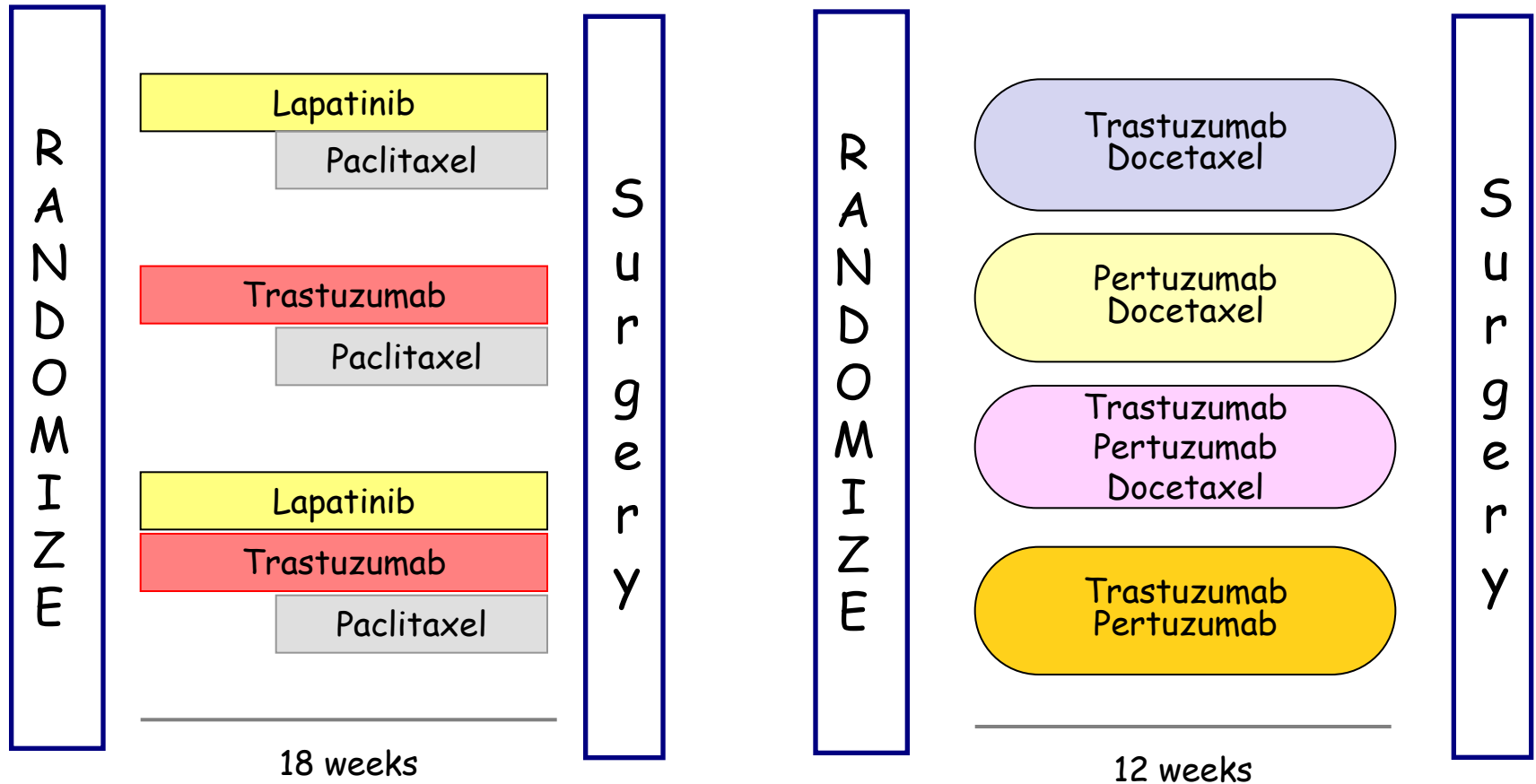
No change in Ki67



Decrease in Ki67



Dual Blockade with Taxanes: NeoAltto and NeoSphere: Study Design

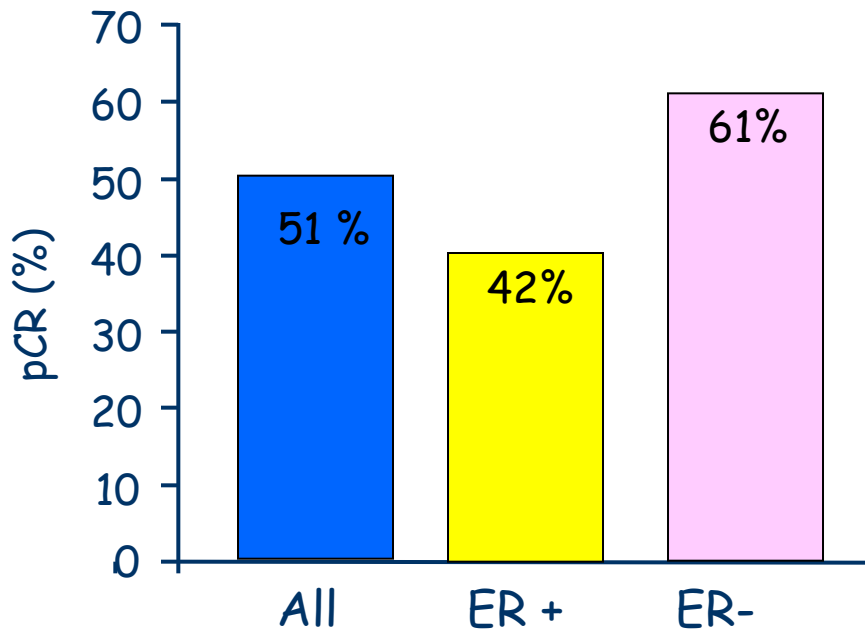


Neo-Altto

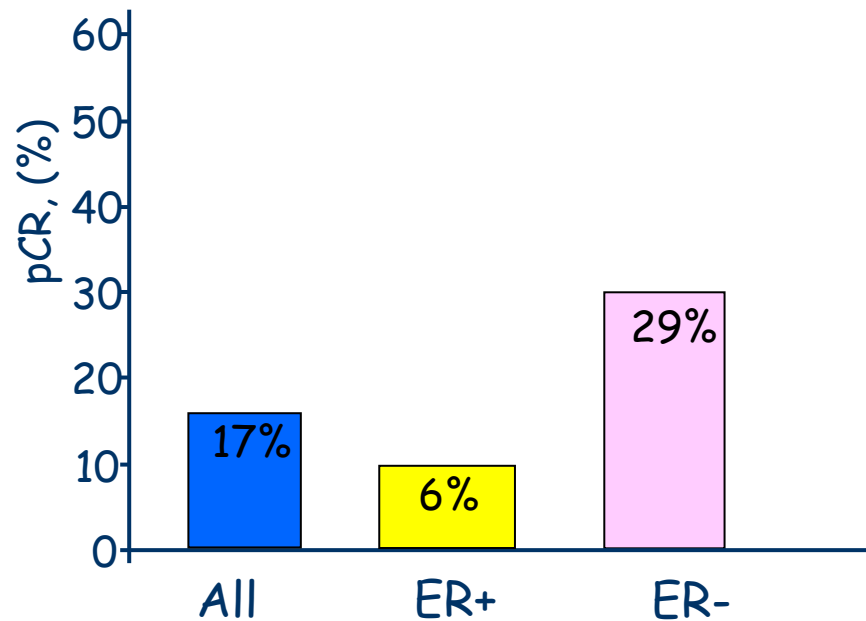
Neo-Sphere

Neo-Altto and Neo-Sphere: path CR rates

Neo-Altto
(L+T+paclitaxel)



Neo-Sphere
(T+P)



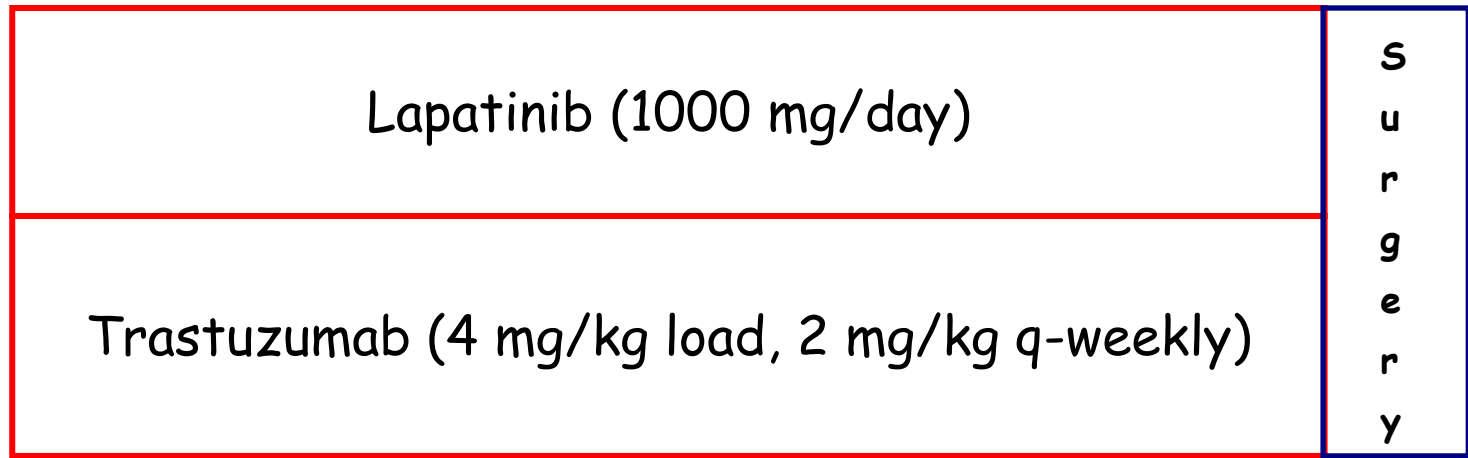
Regimen

- Neoadjuvant 12-week regimen
- Weekly T (load 4 mg/kg i.v, then 2mg/kg) +
Daily L 1000 mg p.o
- ER+ patients also received letrozole (plus
goserelin, if premenopausal)
- Biopsies:
 - Baseline, week 2, 8, 12 (surgery)

Eligibility Criteria

- HER2 +: IHC 3+ / FISH amplified
- T > 3cm, or > 2cm with palpable lymph nodes
- Adequate cardiac function
- ECOG PS 0, 1

Neoadjuvant Lapatinib & Trastuzumab Without Chemotherapy : Study Schema



Bx



Lap (L) + Tras (T) + Endocrine Rx if ER+

Pathologic Response

- Evaluated after completion of neoadjuvant therapy
- Definition:
 - pCR (path complete response):
Absence of invasive cancer in breast
 - npCR (near path complete response):
Residual disease (<1 cm) in breast

Patients Demographics (N=66)

- BCM, Alabama, Vanderbilt, Chicago, Mayo

- Median age: 50 years

- Median Size: 6 cm (1.5, 30cm)

T>5 cm: 39 (62%)

- Menopausal: Pre: 36 (54%)

Post: 30 (46%)

Patients Demographics (N=66)

- Estrogen Receptor: ER+: 41 (62%)
ER-: 25 (38%)
- Progesterone Receptor: PR+: 29 (44%)
PR-: 31 (47%)
NK: 6 (9%)
- ER+/PR+: 29 (48%)
- ER+/PR-: 10 (17%)
- ER-/PR+: 0 (0%)
- ER-/PR-: 21 (32%)

Adverse Events (N=65)

- Discontinued therapy: 5 (8%)
- Grade 1-2:
 - Gastrointestinal
 - Diarrhea: 43 (66%)
 - Nausea: 20 (31%)
 - Skin
 - Acneiform rash: 30 (46%)
 - Abnormal LFTs: 16 (25%)
- Grade 3-4
 - Abnormal LFTs: 3 (<5%)

Clinical Response (N=64)

- Overall RR: 48/64 (75%)
 - Partial Response: 28/64 (44%)
 - Complete Response: 20/64 (31%)

- ER pos: 32/39 (82%)
 - Partial Response: 17/39 (44%)
 - Complete Response: 15/39 (38%)

- ER neg: 16/25 (64%)
 - Partial Response: 11/25 (28%)
 - Complete Response: 5/25 (20%)

Pathologic Response (N=64)

- pCR rates: 18/64 (28%)
 - ER pos: 8/39 (21%)
 - ER neg: 10/25 (40%)

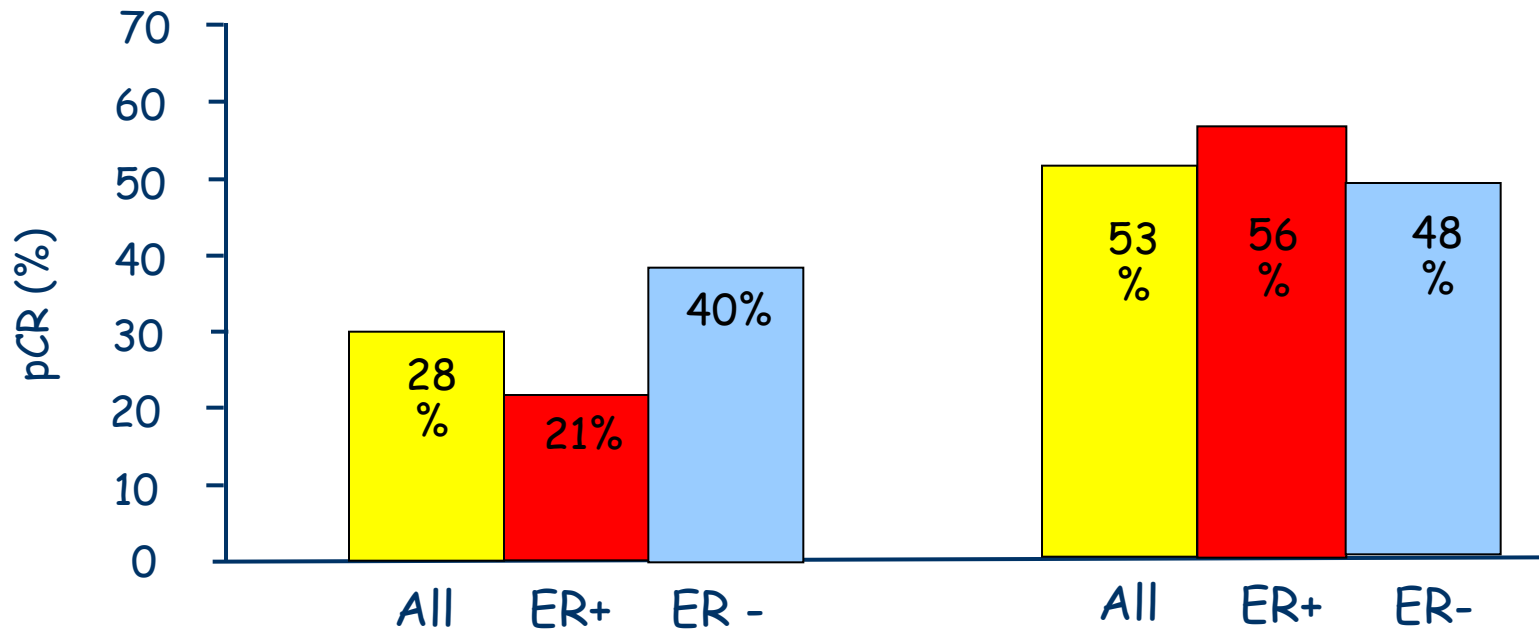
Pathologic Response (N=64)

- pCR+npCR rates: 34/64 (53%)
 - ER pos: 22/39 (56%)
 - ER neg: 12/25 (48%)

Pathologic Response

pCR

pCR+npCR



Conclusions

- Well tolerated regimen, targeted therapy alone without chemotherapy
- High clinical response rate
- High pCR rates
 - 28% pCR rate, 40% in ER neg
 - ER pos, 56% had residual disease <1cm
- HER2 blockade with lapatinib and trastuzumab with estrogen deprivation associated with high pathologic responses

Correlation of Molecular Effects and Pathologic Complete Response to Preoperative Lapatinib and Trastuzumab, Separately and Combined Prior to Neoadjuvant Breast Cancer Chemotherapy

Frankie Ann Holmes, MD

**VA Espina, LA Liotta, YM Nagarwala, M Danso, K McIntyre,
D Osborne, T Anderson, A Florance, J Mahoney,
JA O'Shaughnessy**

 **US Oncology Research**

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Presented at the 2011 ASCO Annual Meeting. Presented data is the property of the author.

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Rationale

- 15% relapse despite adjuvant HER2-based therapy
- Preoperative therapy allows tumor molecular interrogation
- The mechanism of lapatinib HER2 inhibition differs from trastuzumab

Aim

- Prospectively define molecular features seen in breast tumors with pathologic complete response (pCR) or resistance (NO-pCR) to preoperative HER2-directed therapy

Romond EH et al. *N Engl J Med.* 2005;353(16):1673-1684

Slamon DJ et al. *Proc San Antonio Breast Cancer Symp* 2009; Abs 62

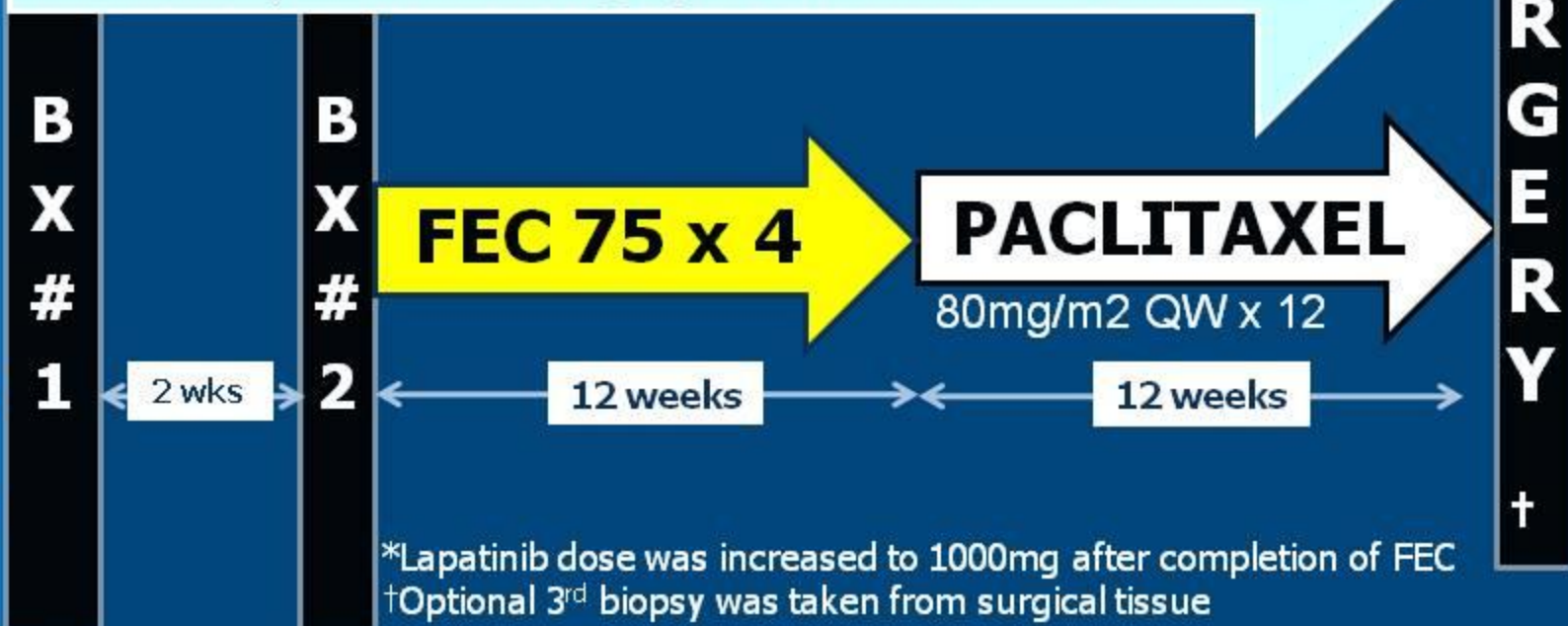
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Trial Design

Eligibility

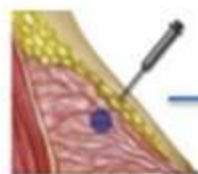
- Stage II, III biopsy-proven invasive, HER2 positive
- Adequate organ reserve, performance status 0-1
- Written Inf Consent

Arm 1: Trastuzumab 4mg/kg → 2 mg/kg, QWk
Arm 2: Lapatinib 1250mg QD
Arm 3: Lapatinib 750mg QD* +Trastuzumab as above

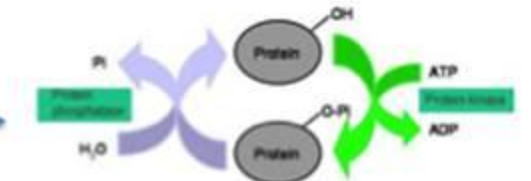


Analysis: Intention to Treat–Evaluable (ITT-E): ITT patients with evaluable tumor responses. Evaluable: ≥75% compliant to chemotherapy; had surgery

Tumor Tissue Processing



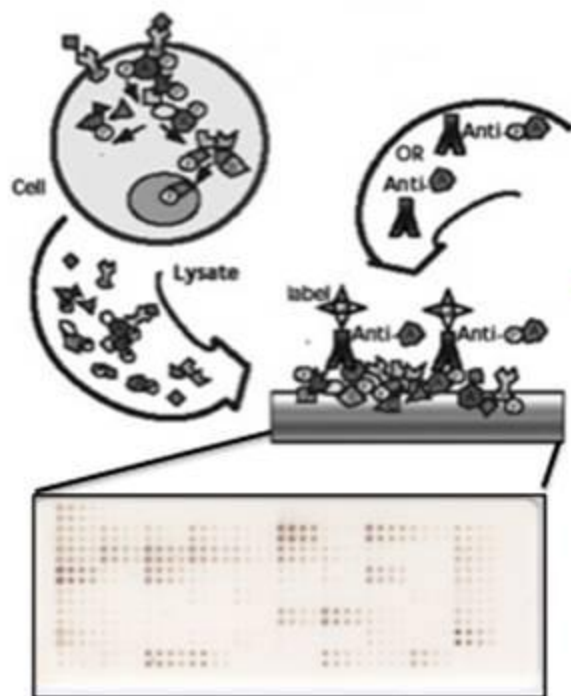
Biopsy



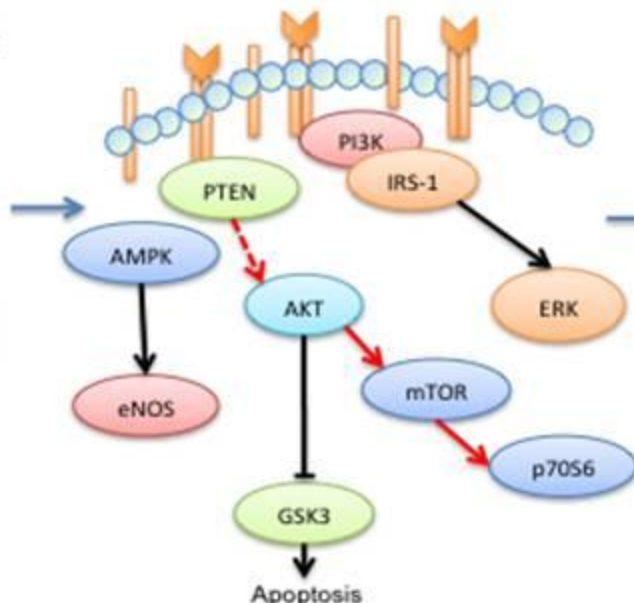
Stabilize phosphoproteins



Laser capture microdissection



Reverse phase protein microarray
More sensitive than IHC



Signal pathway map

**49 evaluable
pre/post biopsy
pairs in 3 treatment
arms:**

- Trastuzumab n=20
- Lapatinib n=17
- T + L n=12

Patient and Tumor Characteristics: Intention to Treat (ITT)*

| | T (n=33) | L (n=34) | T + L (n=33) | Total (N=100) |
|--------------------------|---------------------------|---------------------------|-------------------------------|--------------------------------|
| Median age, years | 54.0 | 52.0 | 50.0 | 51.5 |
| Base ECOG 0 | 94 | 88 | 97 | 93 |
| T2/T3, % | 67/24 | 35/32 | 67/18 | 56/25 |
| N0/N1, % | 55/36 | 32/47 | 39/42 | 42/42 |
| ER+/PR+, % | 45/30 | 41/26 | 58/48 | 48/35 |
| IHC 3+/FISH+, % | 67/82 | 76/71 | 79/70 | 74/74 |

T=trastuzumab; L=lapatinib.

*All patients randomized regardless of actual treatment.

100 pts Randomized 8/07 – 2/10

Core Needle Biopsy PRE & POST HER2-RX

Trastuzumab
n=33 (26) [‡]

Lapatinib
n=34 (29) [‡]

T+L
n=33 (23) [‡]

T not evaluable*
n=7

L not evaluable*
n=5

T+L not eval.*
n=10

T not paired
pre/post tx n=6

L not paired
pre/post tx n=12

T+L not paired
pre/post tx n=11

T pairs for
analysis[†] n=20

L pairs for
analysis n=17

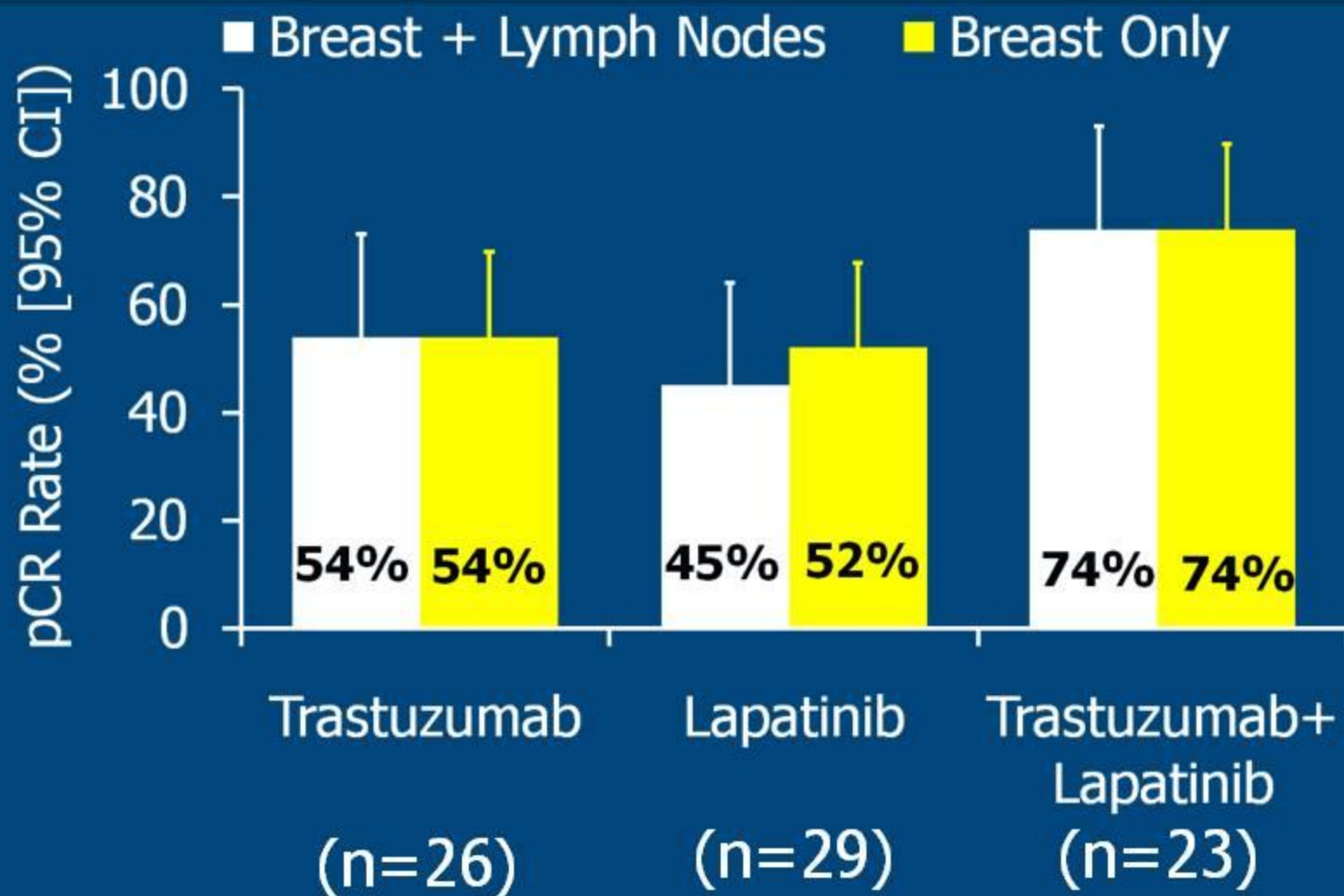
T+L pairs for
analysis n=12

*Inevaluable tumor responses [‡] Had Surg, >75% Rx

[†]Patients with paired biopsies were analyzed

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pCR in ITT-E in Breast + Lymph Nodes and Breast Only Correlated Closely



Molecular Correlations from Baseline Biopsies* with Tumor Response

- **p-EGFR Tyr1068**
- **FOXO1A/3A-Thr 24/32**
- **(STAT5 – day 14*)**
- **Autophagy**
- **Tumor “social” network**

Baseline EGFR-Tyr1068 Phosphorylation: Lapatinib NO-pCR

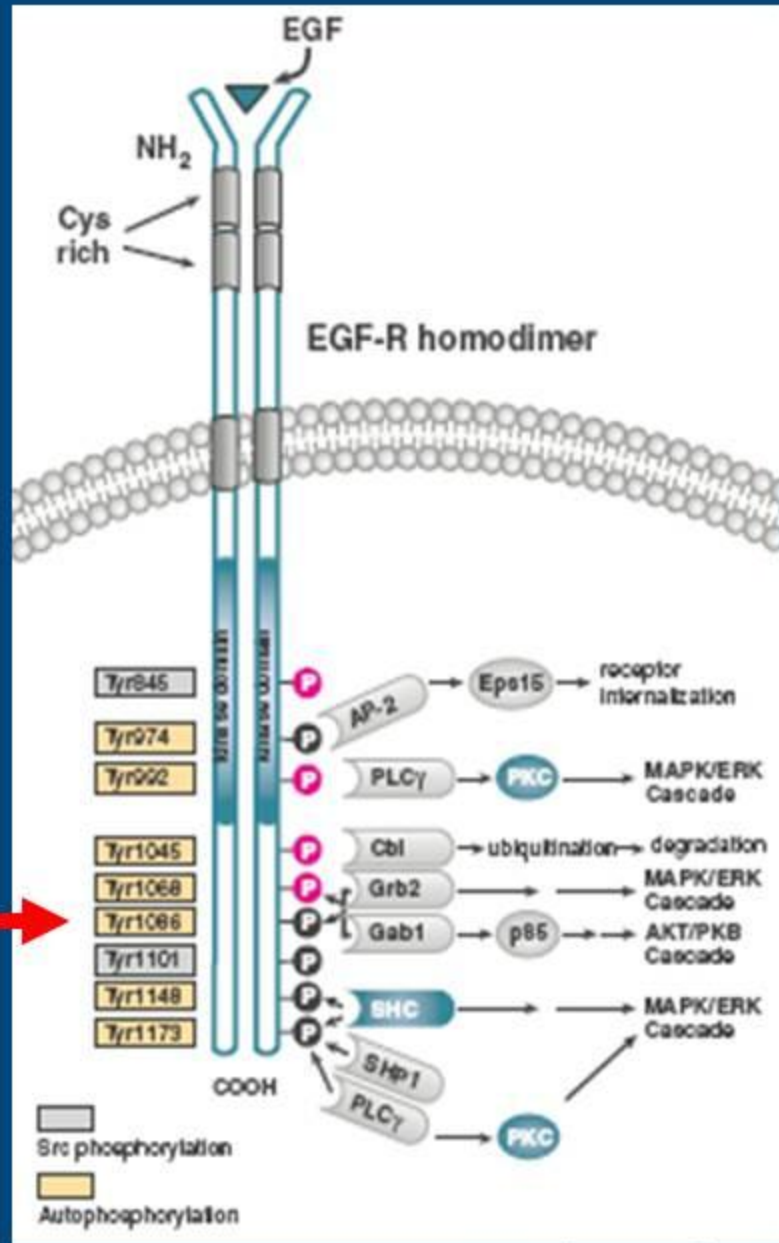
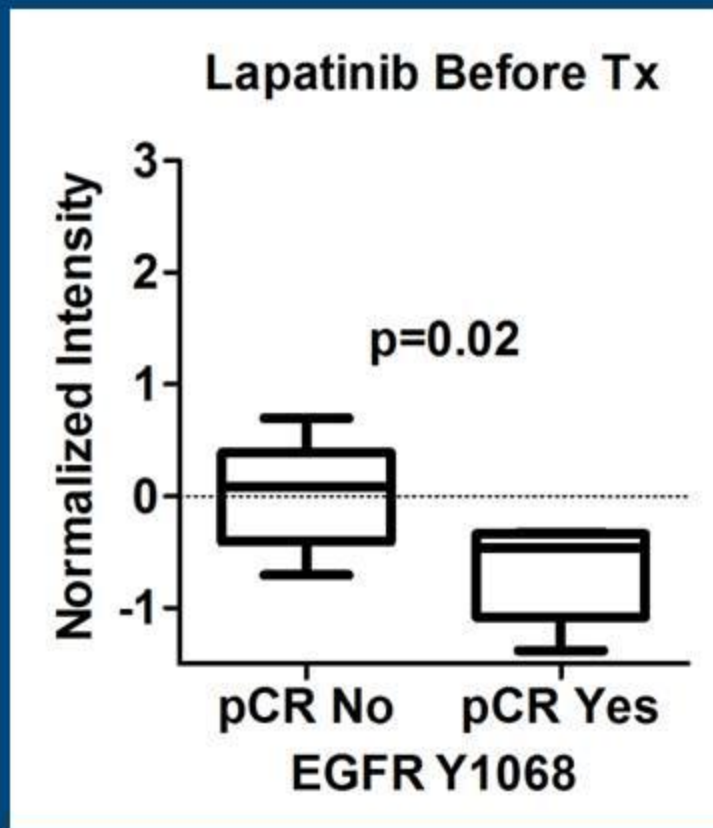


Illustration reproduced courtesy of Cell Signaling Technology, Inc.

(www.cellsignal.com).

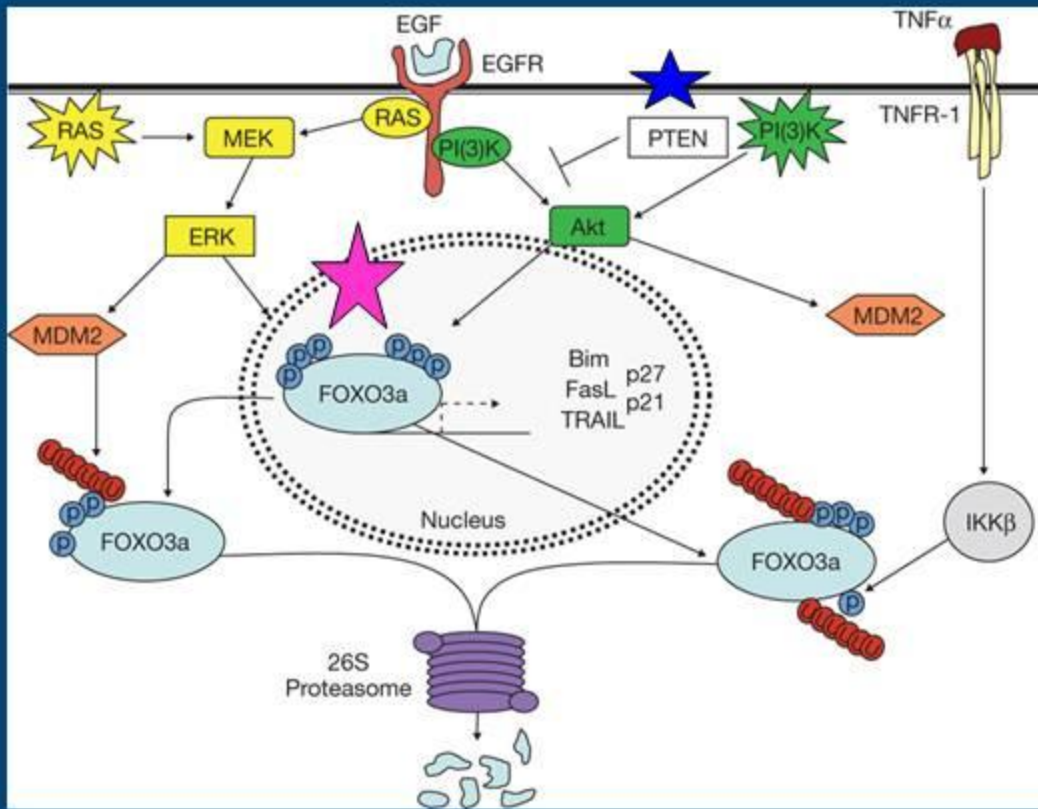
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CLINICAL TRIALS PREVENTION LEADERSHIP PRACTICE INNOVATION PATIENT-CENTRIC CARE COMMITMENT TO EXCELLENCE

FOXO1/03a: Forkhead Box 03 Transcription Factor



- Triggers cell cycle arrest & apoptosis
- Must be in nucleus to do this
- Phosph'n inhibits
- → exit nucleus → no transcript'n control
- IGFR1 AKT/PI3K → p → suppress death

Krol J et al. *Mol Cancer Ther* 2007; 6(12 Pt 1):3169

Wu Y et al. *Cancer Res* 2010; 70:5475

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Protein Ratios in Baseline Biopsy Predictive for Subsequent pCR in ALL arms

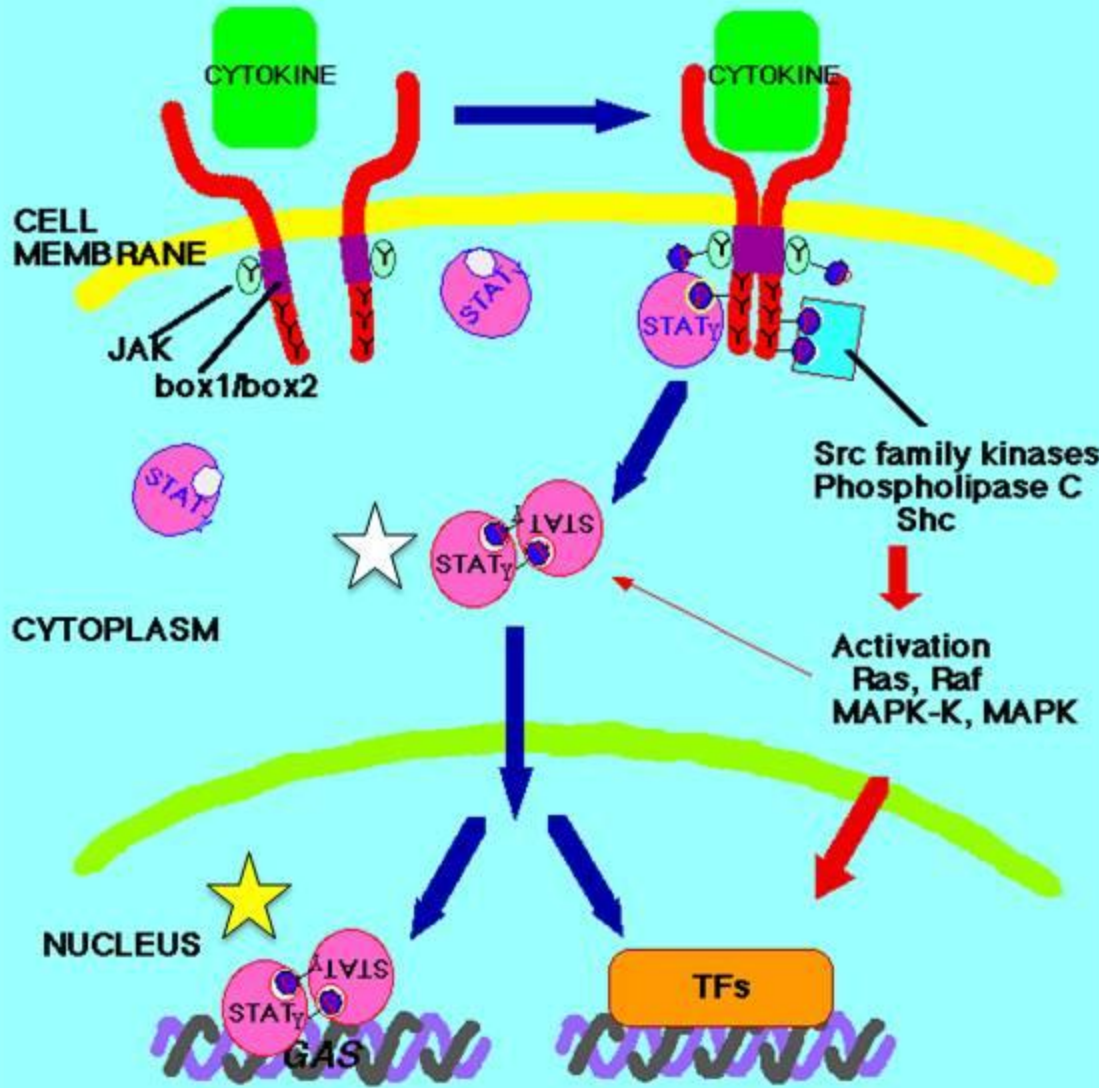
PhosphoPTEN to phosphoFOXO (p=0.01)

- NO-pCR mean ratio= 0.04 (n=22) ★
- pCR mean ratio= 2.79 (n=27) ★

PI3 Kinase to phosphoFOXO (p=0.039)

- NO-pCR mean ratio= -0.2 (n=22)
- pCR mean ratio= 3.23 (n=27)

JAK/STAT signal transduction

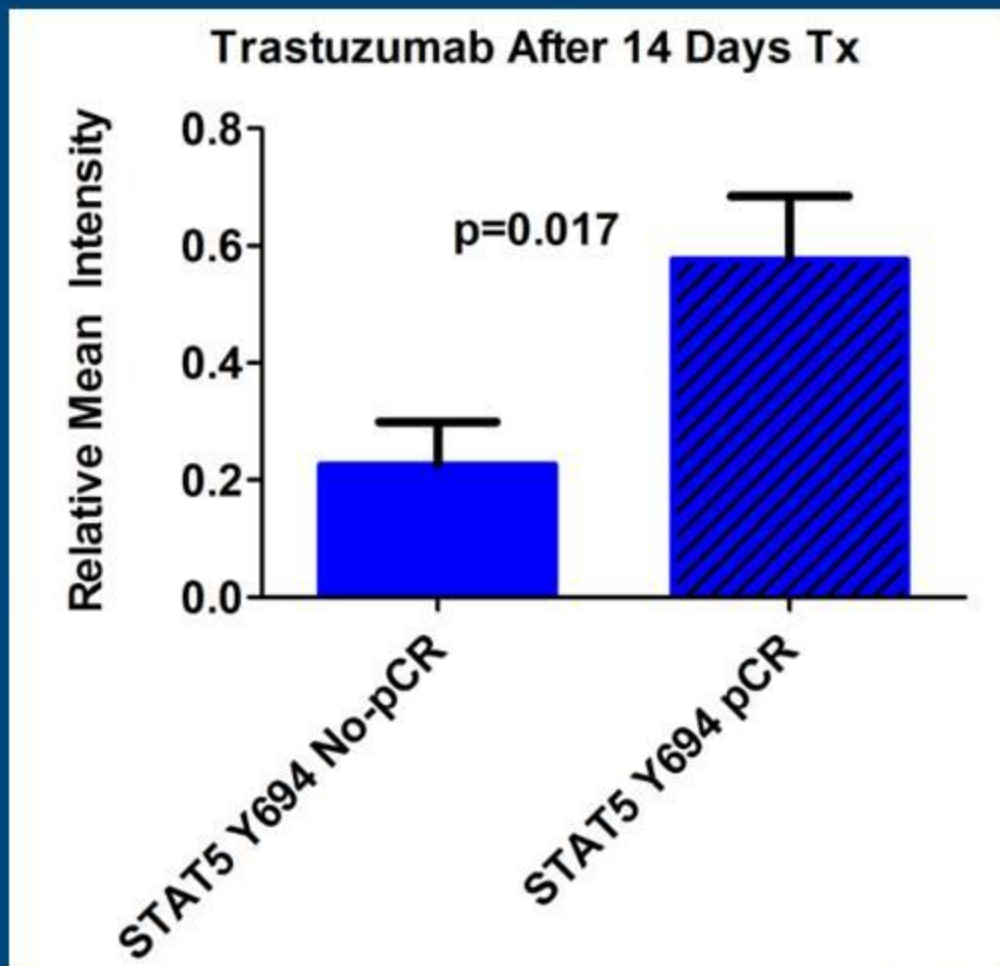


STAT5

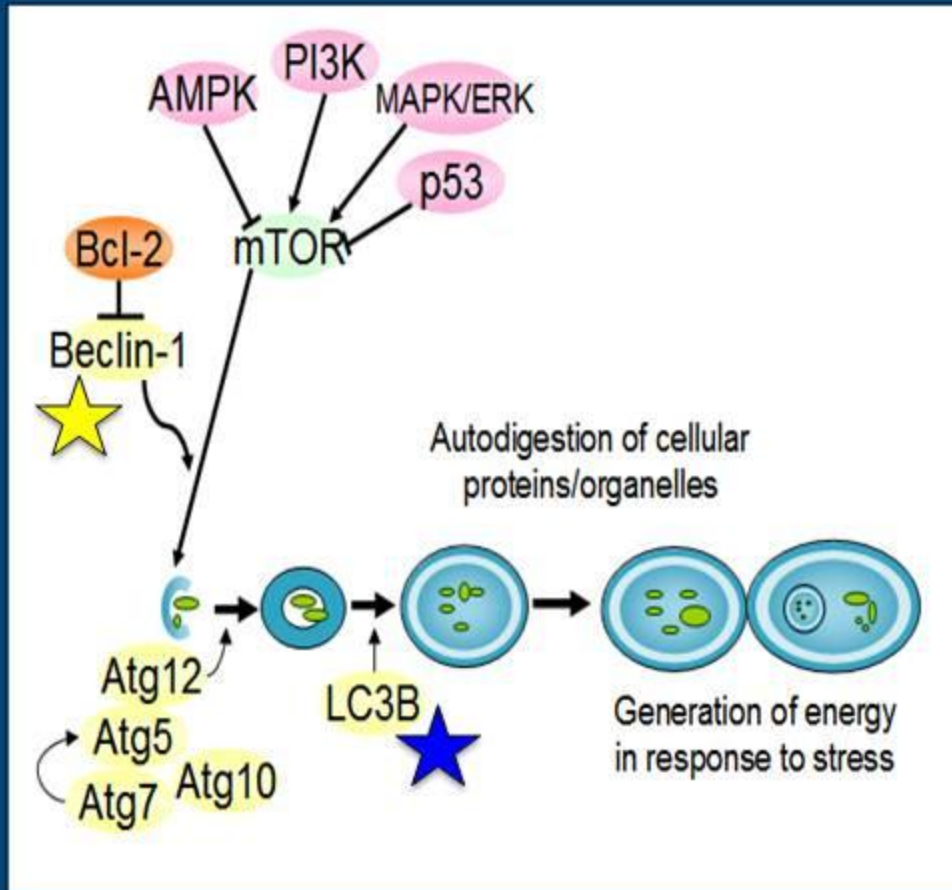
- Transcript'n factor
 - downstream from EGFR, HER2
 - Phosphorylat'n → activat'n, nucleus translocation
 - E-cadherin
 - Phosphorylation → ↓ invasion, better prognosis
- Sultan Oncogene 2005; Peck JCO '11

Trastuzumab – Day 14 Proteins by pCR

Adaptive Response: pSTAT5 Increased in pCR



Autophagy Pathway Protects Cells during Starvation, Hypoxia, Stress



- Survival pathway in metabolic, hypoxic, chemo stress
- Active @ hypoxic tumor regions
- mTOR regulates
- Prevent cell injury from “toxic wastes” from damaged protein & organelle by digest & recycle

- LC3B: is marker, Beclin-1 required

Autophagy Pathway at Baseline: Activated → NO-pCR; Not Active → pCR

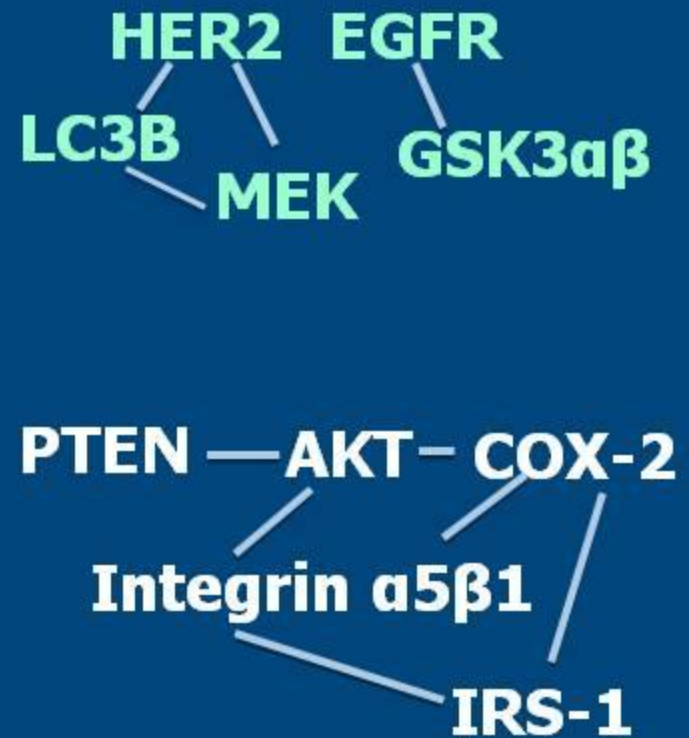
| | |
|---|----------------------------|
| NO-pCR: LC3B Baseline linkage with analyte | SR (p<0.001) |
| • Beclin 1 | 0.88 |
| • MMP14 | 0.83 |
| pCR: LC3B Baseline linkage with analyte: | SR (p<0.001) |
| • HER2 | 0.88 |
| • Stat5 Y694 w LC3B | 0.86 |

Protein Signal Pathway Interconnections in Baseline Biopsies

NO-pCR Tumors
Extensive network



pCR Tumors
Limited network



Summary and Conclusions

- **This exploratory study identified phenotypes of pCR/NO-pCR** from phosphorylation profiles at baseline and after a 2-week run-in of a HER2-directed agent
 - **pEGFR Tyr1068 baseline:** Lapatinib NO-pCR;
 - **Baseline ratios of pFOXO** to PTEN, PI3K correlated with response
 - **pSTAT5** after trastuzumab correlated with pCR
 - Activation of autophagy at baseline correlated with NO-pCR to ALL therapies
 - **NO pCR tumors are highly networked**
- The **pathway to accelerating the cure of breast cancer** is in **every oncologist's office** if networked to high quality, tissue-based research trials.

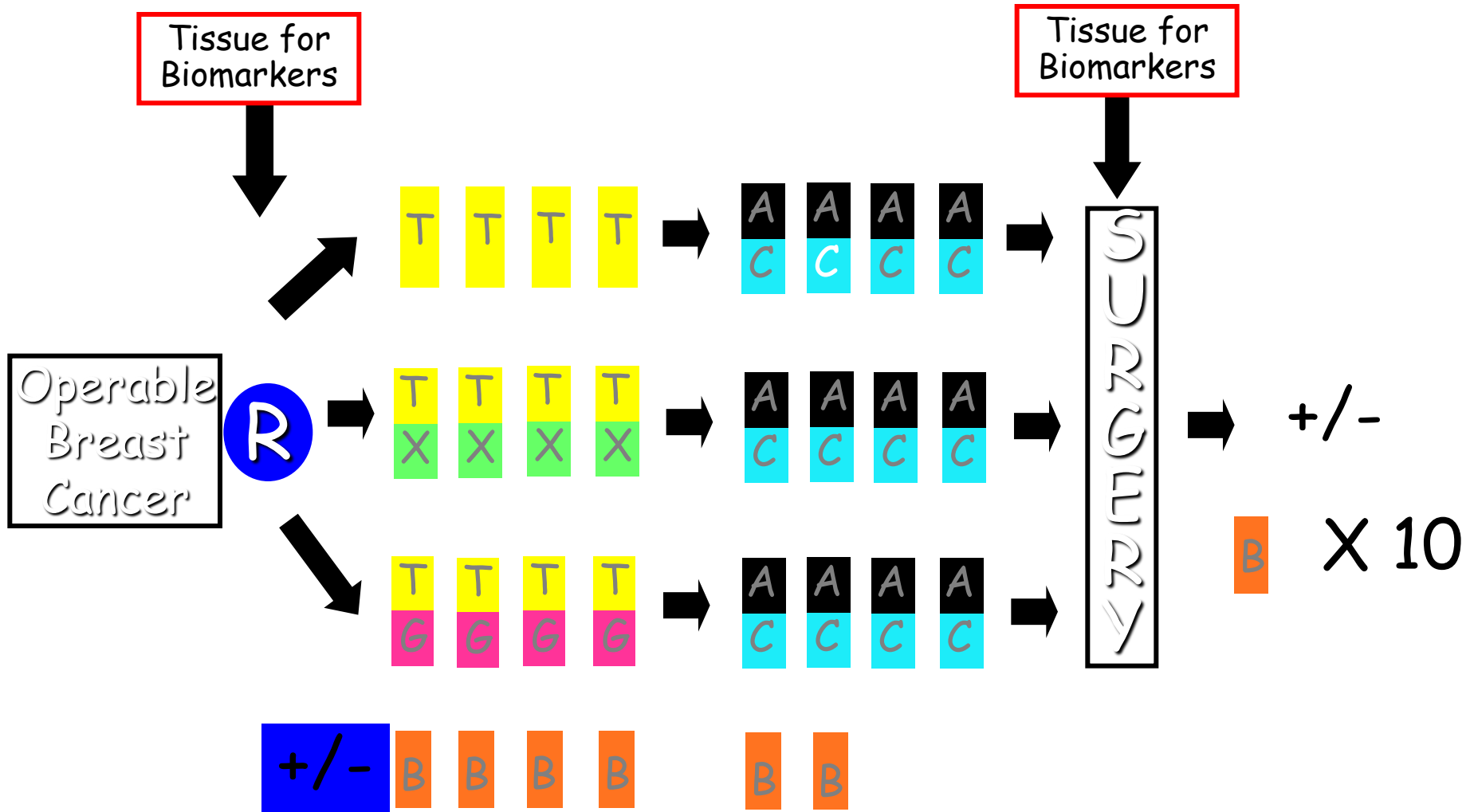
NSABP Protocol B-40

The Effect on pCR of Bevacizumab and/or Antimetabolites Added to Standard Neoadjuvant Chemotherapy

Harry D. Bear, Gong Tang, Priya Rastogi, Charles E. Geyer, Jr.,
André Robidoux, James N. Atkins, Luis Baez-Diaz, Adam Brufsky,
Rita S. Mehta, Louis Fehrenbacher, Eduardo R. Pajon, Francis M.
Senecal, Rakesh Gaur, Richard G. Margoless, Paul T. Adams, Howard
M. Gross, Joseph P. Costantino, Sandra M. Swain, Elfetherios P.
Mamounas, Norman Wolmark

NSABP[®]

NSABP B-40



Endpoints: pCR, cCR, DFS, gene expression patterns

NSABP B-40

Primary Aims

- To determine whether adding capecitabine or gemcitabine to docetaxel followed by AC will increase the pathologic complete response (pCR) rates in the breast
 - pCR = no invasive cancer in breast; may have DCIS
- To determine whether the addition of bevacizumab to docetaxel/anthracycline-based regimens will increase pCR rates in the breast

NSABP B-40

Selected Patient Eligibility Criteria

- Palpable tumor; diameter ≥ 2.0 cm
- Invasive adenocarcinoma by *core needle biopsy*
- HER-2 negative
- T2 or T3 tumor
- cN0, cN1 or cN2a
- Normal LVEF

NSABP B-40

Stratification Factors

- Clinical Tumor Size (2.0 - 4.0 cm, > 4.0 cm)
- Clinical Nodal Status (negative, positive)
- Hormone Receptor Status (ER-positive and/or PgR-positive, ER- and PgR-negative)
- Age (< 50, ≥ 50)

NSABP B-40 Accrual

- Accrued 1,206 patients over 42 months
(1/5/2007 - 6/30/2010)

NSABP B-40

Patient Characteristics

- Age

- < 49 52%
- 50 - 59 32%
- ≥ 60 16%

- Race

- White 83%
- Black 13%
- Other/Unk 3%

- Tumor size

- 2-4 cm 46%
- > 4 cm 54%

- Clinical Nodal Status

- Pos. 47%
- Neg. 53%

NSABP B-40

Patient Characteristics

- Tumor Grade*
 - Well 7%
 - Moderate 36%
 - Poor 56%
 - Unknown 1%

- HR status*
 - « Pos. 59%
 - « Neg. 41%

* Based on institutional assessments

NSABP B-40

Primary Aims

- To determine whether adding capecitabine or gemcitabine to docetaxel followed by AC will increase the pathologic complete response (pCR) rates in the breast
- To determine whether the addition of bevacizumab to docetaxel/anthracycline-based regimens will increase pCR rates in the breast

NSABP B-40

Overall Maximum Toxicity* (%)

| GRADE | T → AC (396) | TX → AC (399) | TG → AC (396) |
|-------|-----------------|------------------|------------------|
| 0-2 | 45 | 31 | 27 |
| 3 | 48 | 55 | 61 |
| 4 | 7 | 14 | 12 |
| 5 | <1 | <1 | 0 |

* Toxicity information available from 1191 patients.

NSABP B-40

Neutropenia* (%)

| GRADE | T → AC (396) | TX → AC (399) | TG → AC (396) |
|-------|-----------------|------------------|------------------|
| 0-2 | 85 | 79 | 65 |
| 3 | 10 | 13 | 26 |
| 4 | 5 | 7 | 9 |
| 5 | 0 | 0 | 0 |

* Toxicity information available from 1191 patients.

NSABP B-40

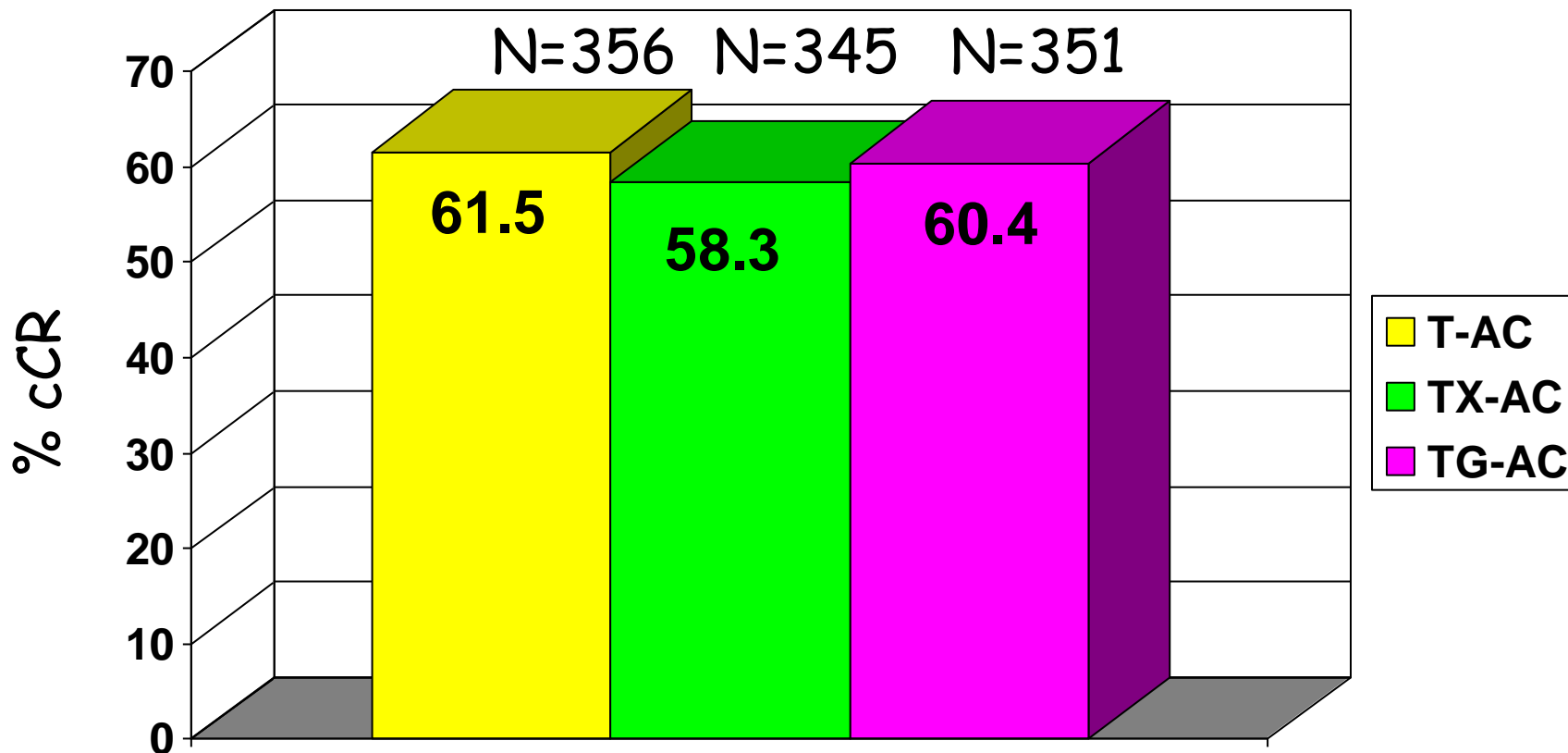
Hand-Foot Syndrome* (%)

| GRADE | T → AC (396) | TX → AC (399) | TG → AC (396) |
|-------|-----------------|------------------|------------------|
| 0-2 | 97 | 77 | 99 |
| 3 | 3 | 23 | 1 |

* Toxicity information available from 1191 patients.

NSABP B-40

Clinical Complete Responses After All Neoadjuvant Therapy by Chemotherapy Regimen



Chi-square test for cCR: T→AC vs. TX→AC (p=0.422)
T→AC vs. TG→AC (p=0.82)

of missing clinical response status=154

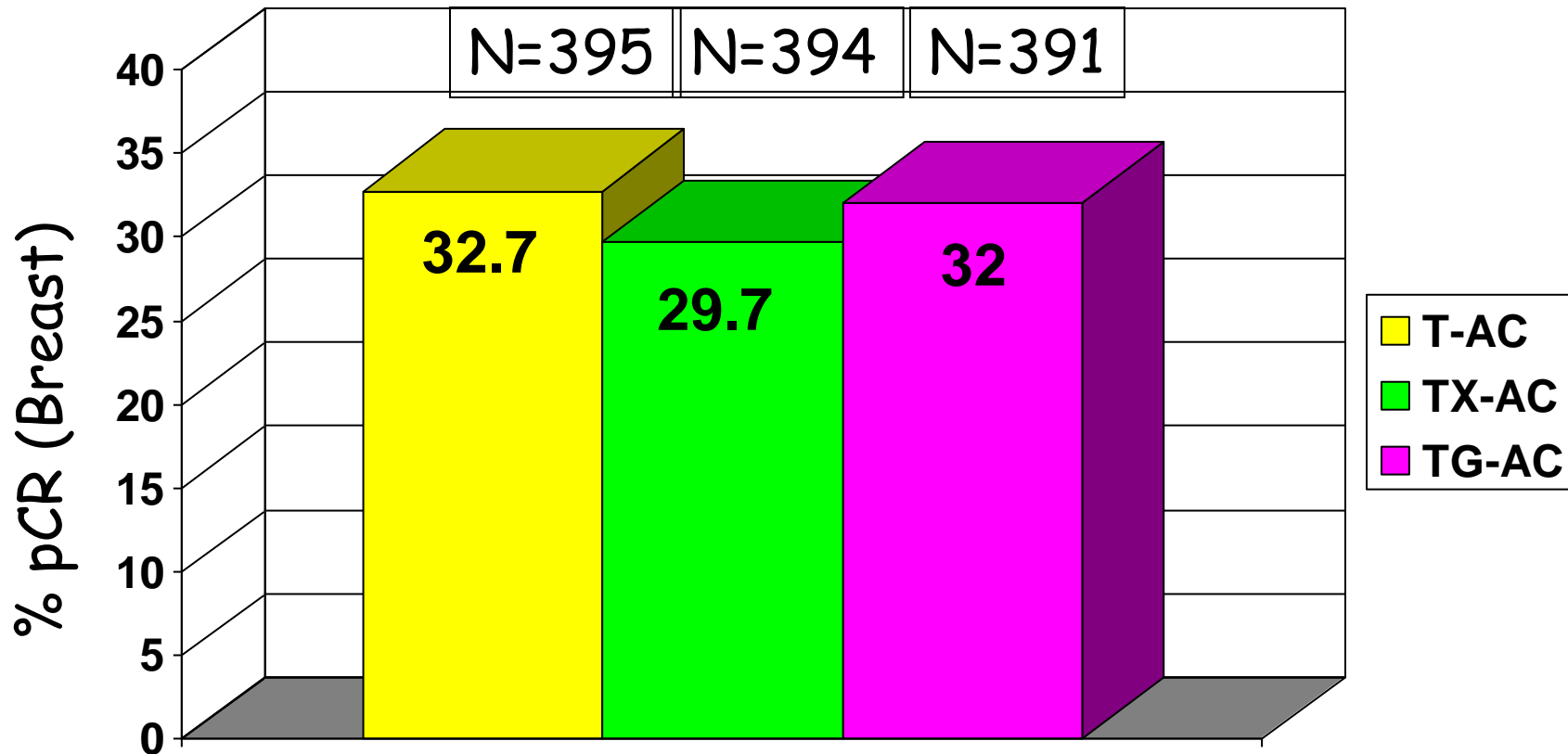
NSABP B-40

Surgery Type

| | T→AC | TX→AC | TG→AC |
|------------|----------|----------|----------|
| No surgery | 2 (<1) | 7 (2) | 5 (1) |
| Lumpectomy | 179 (45) | 171 (43) | 196 (50) |
| Mastectomy | 213 (54) | 221 (55) | 194 (49) |
| Total | 394 | 399 | 395 |

NSABP B-40

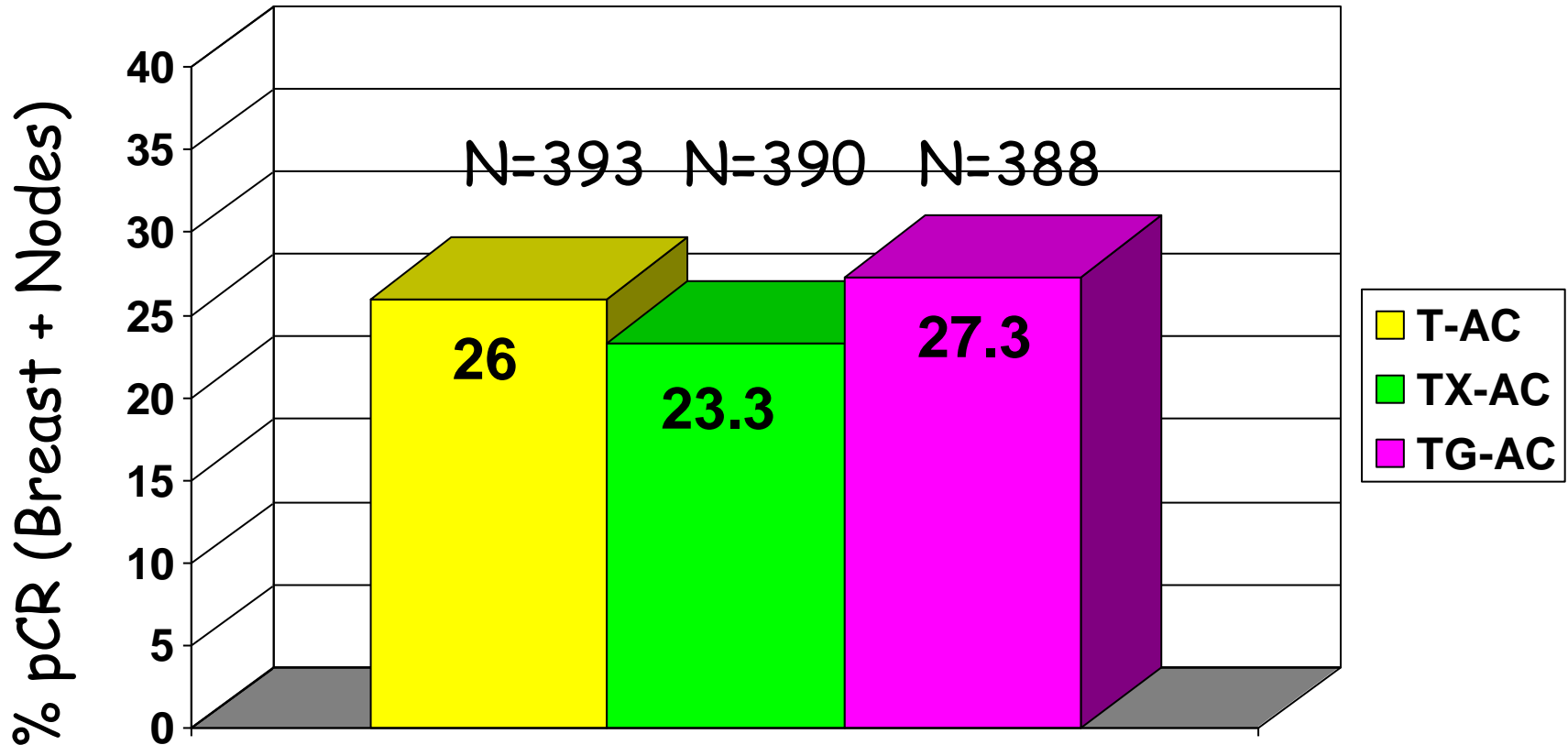
Pathologic Complete Response (Breast)



Chi-square test: T→AC vs TC→AC (p=0.411)
T→AC vs.TG→AC (p=0.896)

NSABP B-40

Pathologic Complete Response (Breast and Nodes)



Chi-square test: T→AC vs. TC→AC (p=0.443)

T→AC vs. TG→AC (p=0.726)

NSABP B-40

Primary Aims

- To determine whether adding capecitabine or gemcitabine to docetaxel followed by AC will increase the pathologic complete response (pCR) rates in the breast
- To determine whether the addition of bevacizumab to docetaxel/anthracycline-based regimens will increase pCR rates in the breast

NSABP B-40

Overall Maximum Toxicity* (%)

| GRADE | w/o BEV (596) | BEV (595) |
|-------|------------------|--------------|
| 0-2 | 41 | 27 |
| 3 | 49 | 61 |
| 4 | 9 | 12 |
| 5 | <1 | <1 |

* Toxicity information available from 1191 patients.

NSABP B-40 Hypertension* (%)

| GRADE | w/o BEV (596) | BEV (595) |
|-------|------------------|--------------|
| 2 | 1 | 13 |
| 3 | <1 | 10 |
| 4 | 0 | <1 |
| 5 | 0 | 0 |

* Toxicity information available from 1191 patients.

NSABP B-40 Hand-Foot Syndrome* (%)

| GRADE | w/o BEV (596) | BEV (595) |
|-------|------------------|--------------|
| 2 | 11 | 15 |
| 3 | 8 | 11 |

* Toxicity information available from 1191 patients.

NSABP B-40 Mucositis* (%)

| GRADE | w/o BEV (596) | BEV (595) |
|-------|------------------|--------------|
| 2 | 10 | 20 |
| 3 | 3 | 5 |
| 4 | 0 | 0 |
| 5 | 0 | 0 |

* Functional/Symptomatic

Toxicity information available from 1191 patients.

NSABP B-40
Left Ventricular Systolic
Dysfunction* (%)

| GRADE | w/o BEV (596) | BEV (595) |
|-------|------------------|--------------|
| 0-2 | 100 | 99 |
| 3 | <1 | 1 |
| 4 | <1 | <1 |
| 5 | 0 | 0 |

* Toxicity information available from 1191 patients.

NSABP B-40

Completion/Discontinuation of Neoadjuvant Treatment in Arms *Without* BEV

| | N=580 |
|------------------------------------|-----------|
| Completed neoadjuvant per protocol | 488 (84%) |
| Discontinued early | 92 (16%) |
| | |
| - AE from docetaxel | 16 (3%) |
| - AE from AC | 11 (2%) |
| - AE from multiple therapies | 6 (1%) |
| - New lesion/progression | 27 (5%) |
| - Alternative therapy | 8 (1%) |
| - Death | 1 (<1%) |
| - Other | 23 (4%) |

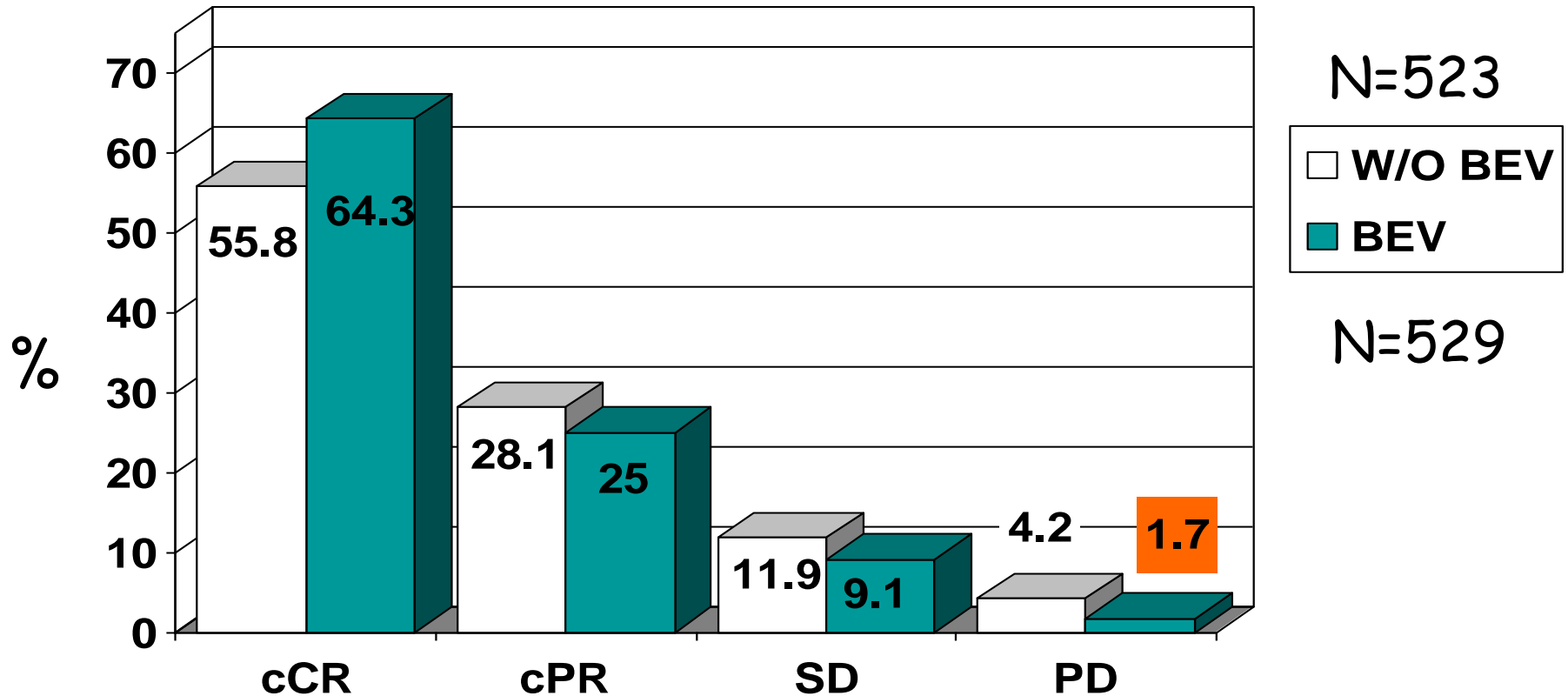
NSABP B-40

Completion/Discontinuation of Neoadjuvant Treatment in Arms *With* BEV

| | N=576 |
|------------------------------------|-----------|
| Completed neoadjuvant per protocol | 460 (80%) |
| Discontinued | 116 (20%) |
| | |
| - AE from docetaxel | 12 (2%) |
| - AE from AC | 10 (2%) |
| - AE from Bev | 30 (5%) |
| - AE from multiple therapies | 25 (4%) |
| - New lesion/progression | 10 (2%) |
| - Alternative therapy | 2 (<1%) |
| - Death | 0 (0%) |
| - Other | 27 (5%) |

NSABP B-40

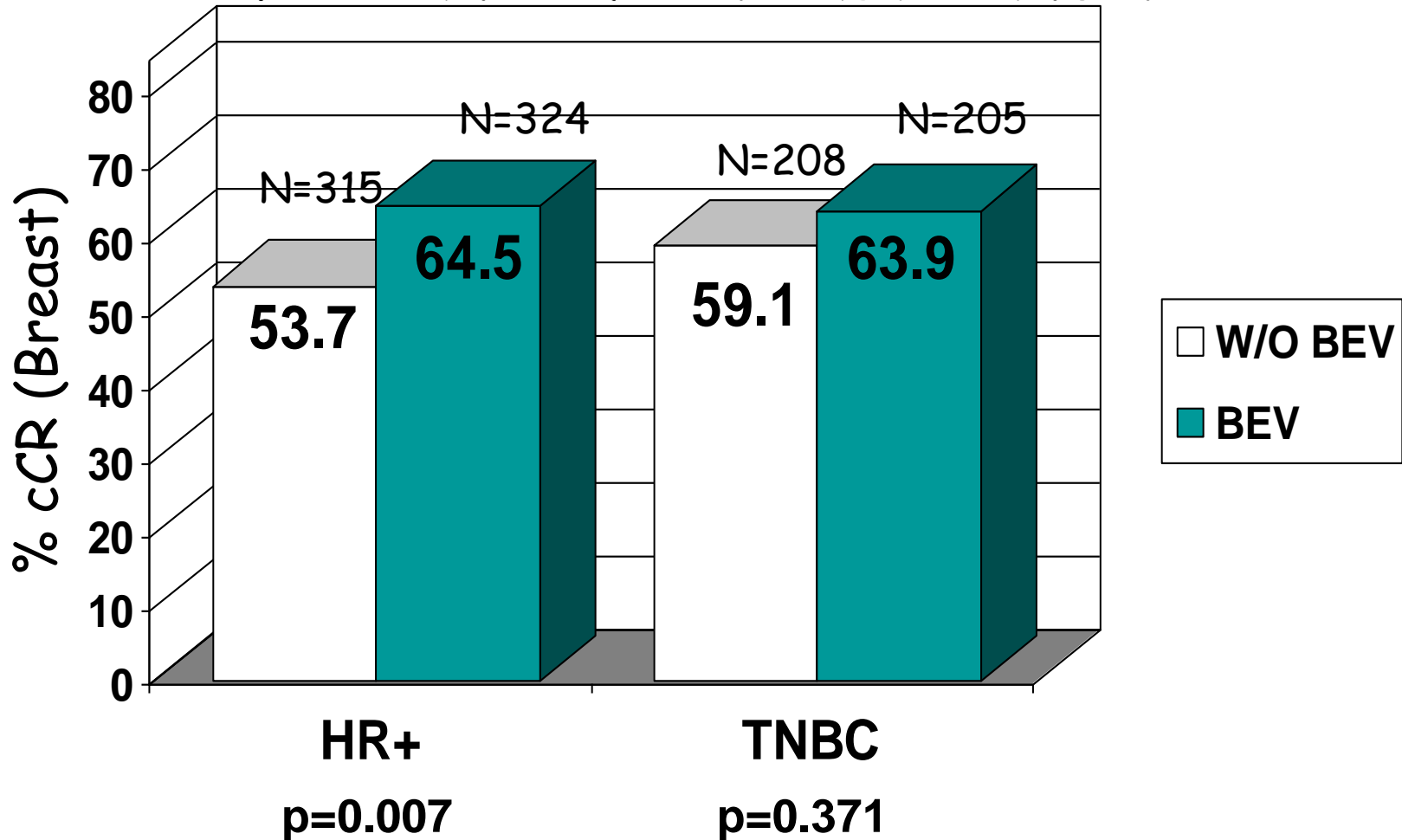
Clinical Responses After All Neoadjuvant Therapy
Based on Bevacizumab Administration



Chi-square test for cCR: $p=0.006$

NSABP B-40

Clinical Complete Responses for HR+ and TN Breast Cancer



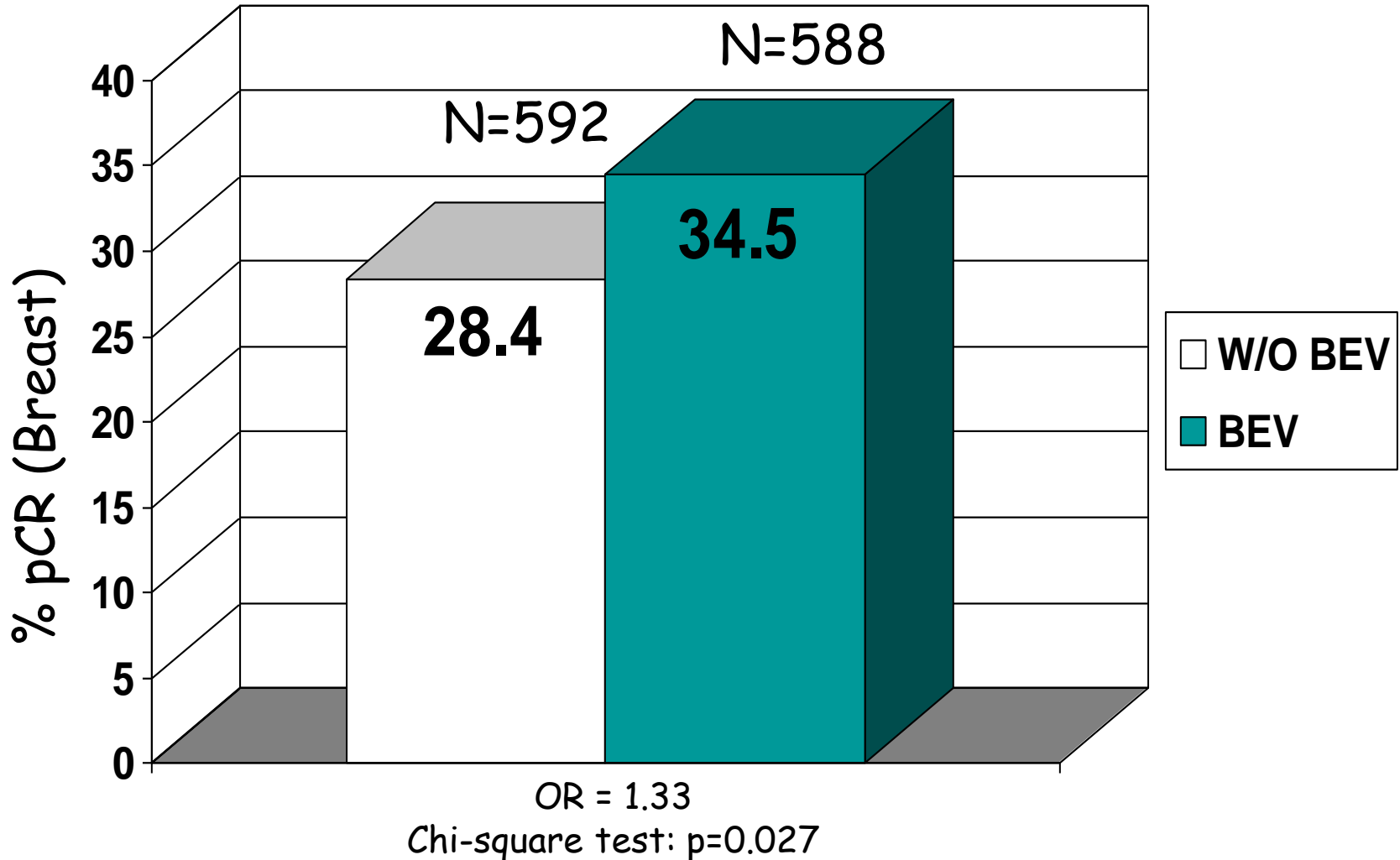
NSABP B-40

Surgery Type

| | w/o BEV | BEV |
|------------|----------|----------|
| No surgery | 5 (<1) | 9 (1) |
| Lumpectomy | 267 (45) | 279 (47) |
| Mastectomy | 321 (54) | 307 (52) |
| Total | 593 | 595 |

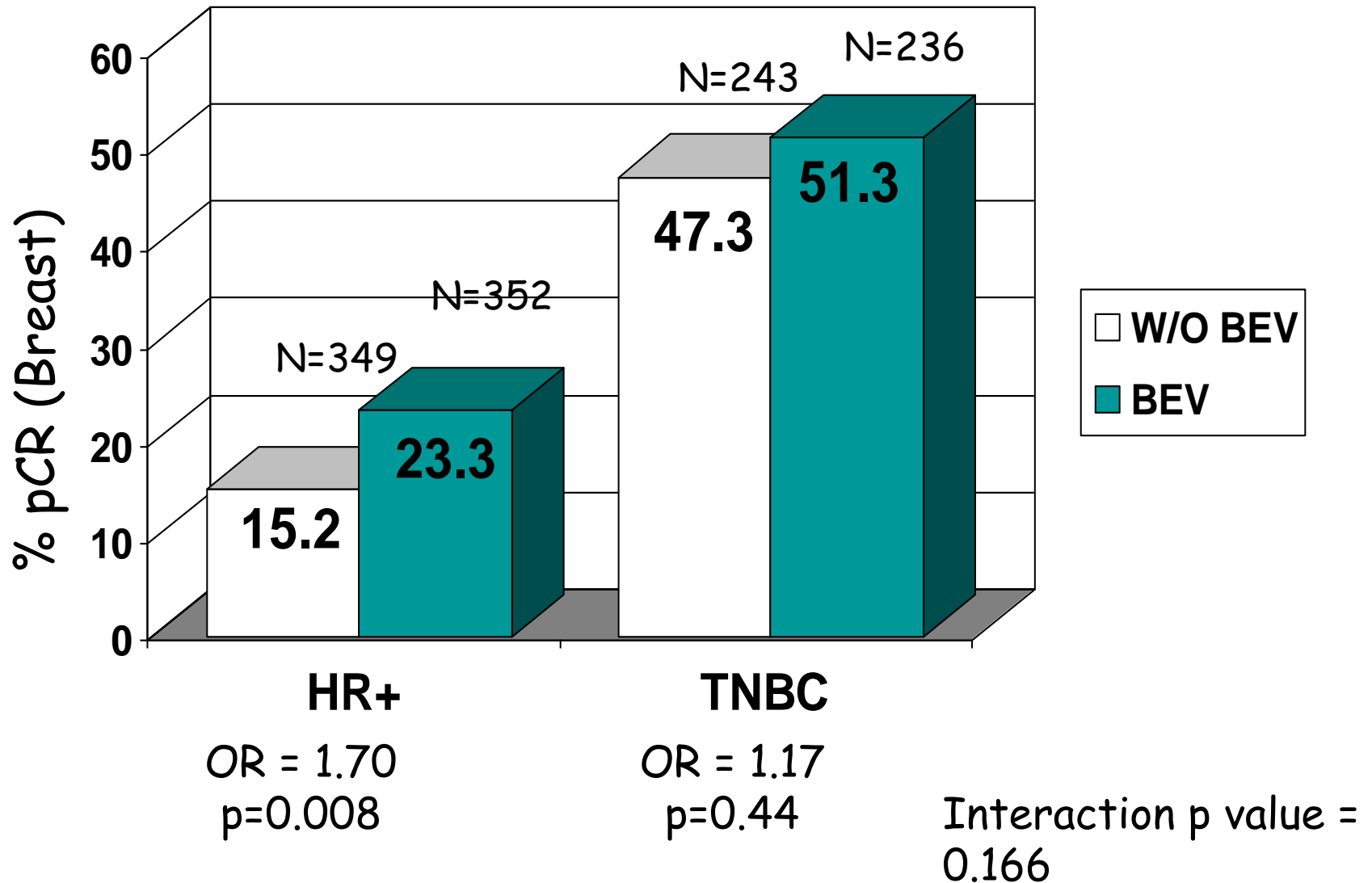
NSABP B-40

Pathologic Complete Response (Breast)



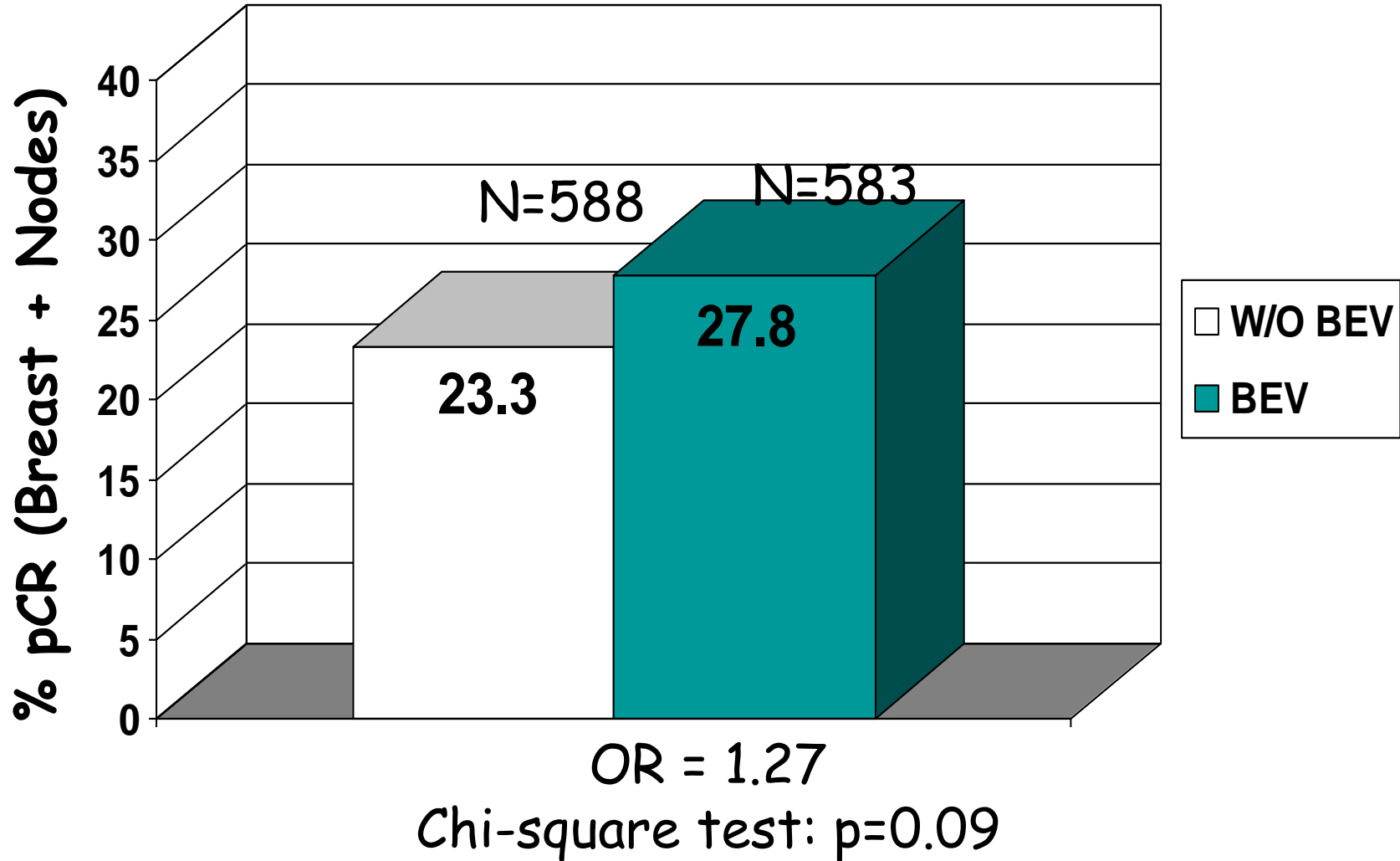
NSABP B-40

Pathologic Complete Responses (Breast) for HR+ and TN Breast Cancer



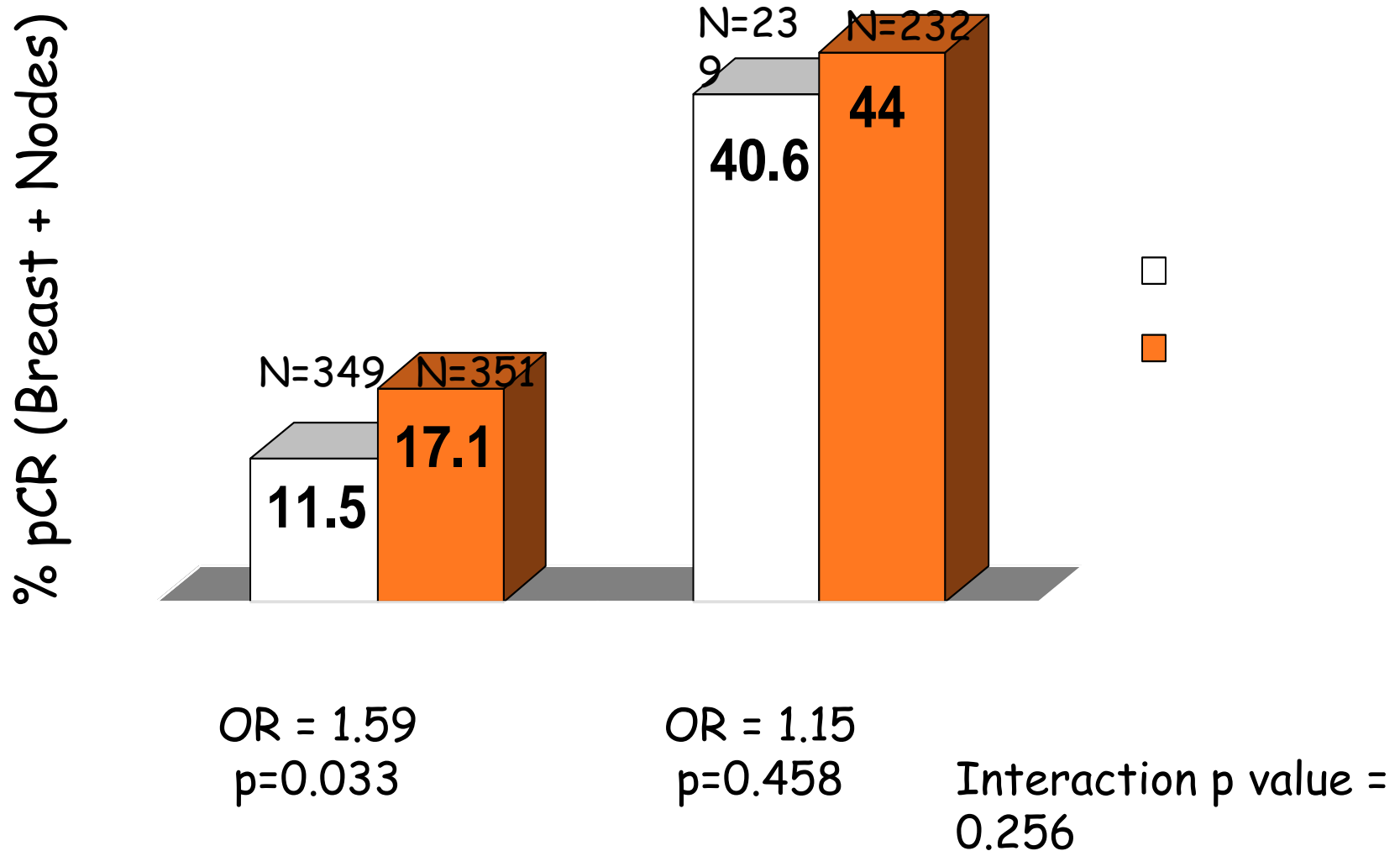
NSABP B-40

Pathologic Complete Response (Breast and Nodes)



NSABP B-40

Pathologic Complete Response (Breast and Nodes)
for HR+ and TN Breast Cancer



NSABP B-40

Conclusions to Date

- Neither Capecitabine nor Gemcitabine added to Docetaxel increased clinical or pathologic response rates
- Adding Cape or Gem DID increase toxicity

NSABP B-40

Conclusions to Date

- Bevacizumab added to regimens based on T followed by AC significantly increased clinical and pathologic complete response rates
 - Most apparent in HR+ subset
 - However, p values for interaction were not significant
 - Bev did not change surgical options

NSABP B-40

Questions Remaining

- Impact of Bev on OS and DFS
 - Long-term follow-up of B-40 and other trials recently completed or in progress (e.g., BETH, BEATRICE, GeparQuinto, E5103, B-46)
- Biologic correlates/predictors of response to chemotherapy and/or specific agents
- Validate Residual Cancer Burden (RCB) as predictor of outcome
- Effect of pre-op and post-op Bev on wound healing

A Randomized Phase III Study of Iniparib (BSI-201) in Combination with Gemcitabine and Carboplatin in Metastatic Triple Negative Breast Cancer (mTNBC)

Joyce O'Shaughnessy,^{1,2,3} Lee Schwartzberg^{4,5} Michael A. Danso,^{3,6} Hope Rugo,⁷ Kathy Miller,⁸ Denise Yardley,^{9,10} Robert W. Carlson,¹¹ Richard Finn,¹² Eric Charpentier,¹³ Sunil Gupta,¹³ Monica Freese,¹³ Anne Blackwood-Chirchir,¹⁴ and Eric P. Winer¹⁵

¹Baylor Sammons Cancer Center, ²Texas Oncology; ³US Oncology, Dallas, TX; ⁴Accelerated Community Oncology Research Network, Memphis, TN; ⁵The West Clinic, Memphis, TN ⁶Virginia Oncology Associates, Norfolk, VA; ⁷University of California, San Francisco, CA; ⁸Indiana University Melvin and Bren Simon Cancer Center, Indianapolis, IN; ⁹Sarah Cannon Research Institute, Nashville, TN; ¹⁰Tennessee Oncology, PLLC, Nashville, TN; ¹¹Stanford Comprehensive Cancer Center, Palo Alto, CA; ¹²University of California Los Angeles School of Medicine, Los Angeles, CA; ¹³ Sanofi, Paris, France; ¹⁴BiPar Sciences, Inc. South San Francisco, CA, and ¹⁵Dana Farber Cancer Institute, Boston,

Iniparib (BSI-201)

A novel, investigational, anti-cancer agent

In triple negative breast cancer cell lines¹⁻⁴:

Induces cell cycle arrest in the G2/M phase

Induces double strand DNA damage γ -H2AX foci but does not inhibit PARP 1 and 2 at physiologic drug concentrations

Potentiates cell-cycle arrest induced by DNA damaging agents, including platinum and gemcitabine

Physiologic targets of iniparib and its metabolites are under investigation

Clinical Data:

In a randomized phase 2 study, addition of iniparib to gemcitabine/carboplatin improved CBR, ORR, PFS and OS in patients with mTNBC⁵

No potentiation of chemotherapy-related toxicities when iniparib is combined with gemcitabine/carboplatin

Iniparib is the United States Adopted Name (USAN) for the investigational agent BSI-201.

1. Ossovskaya V, et al. SABCs 2010, San Antonio, TX. Poster P5-06-09; 2. Ossovskaya V, et al. AACR 2009, Denver, CO. Abstract 5552; 3. Ossovskaya V, et al. AACR 2011, Orlando, FL. Abstract LB-401; 4. Ji et al. AACR 2011, Orlando, FL. Abstract 4527; 5. O'Shaughnessy J, et al. *N Engl J Med* 2011; 364:205–214.

Schema

Study Design: Multi-center, randomized open-label Phase III Trial

Study Population:

- Stage IV TNBC
 - ECOG PS 0-1
 - Stable CNS metastases allowed
 - 0-2 prior chemotherapies for mTNBC
- Randomization stratified by prior chemo in the metastatic setting:
- 1st-line (no prior therapy)
 - 2nd/3rd-line (1-2 prior therapies)

R

Gem/Carbo (GC) (N= 258)

Gemcitabine 1000 mg/m² IV d 1, 8
Carboplatin AUC2 IV d 1, 8

21-day cycles

Crossover allowed
to GCI following
Disease Progression*
(central review)

Gem/Carbo + Iniparib (GCI) (N= 261)

Gemcitabine - 1000 mg/m² IV d 1, 8
Carboplatin - AUC2 IV d 1, 8
Iniparib - 5.6 mg/kg IV d 1,4,8,11

21-day cycles

96% (n=152) of progressing patients crossed over to GCI at time of primary analysis

Study Objectives

Primary:

Co-primary endpoints:

Overall survival (OS)

Progression-free survival (PFS)

Study considered positive if either endpoint met

Secondary:

Objective response rate (ORR)

Safety, tolerability, and Pharmacokinetics of GCI

Statistical Considerations

Type-I error adjustment for co-primary endpoints

Total alpha level = 0.05 split: 0.04 for OS and 0.01 for PFS

Planned sample size and hypothesis:

Total number of planned patients: 420

OS: HR = 0.66, power = 90%, alpha = 0.04 (2-sided)

Total 260 deaths

PFS: HR = 0.65, power = 90%, alpha = 0.01 (2-sided)

Total 322 PFS events

Efficacy analyses:

ITT- population based on treatment group assigned at randomization

N = 519 (over enrolled due to very rapid enrollment 7/09 - 3/10)

Safety population:

All patients who received at least 1 dose of any study drug

Baseline Characteristics

| | <i>GC</i> (N=258) | <i>GCI</i> (N=261) |
|--------------------------------|----------------------|-----------------------|
| Age, years, median | 54 | 53 |
| ECOG PS, % | | |
| 0 / 1 | 53 / 45 | 57/ 42 |
| No. metastatic sites, % | | |
| 1 | 14 | 8 |
| 2 | 26 | 34 |
| ≥3 | 60 | 58 |
| Metastatic site, % | | |
| Lung | 43 | 38 |
| Liver | 61 | 62 |
| CNS/Brain | 8 | 8 |
| Bone | 30 | 33 |
| Skin/Soft Tissue | 23 | 25 |
| Lymph nodes | 72 | 76 |
| Breast | 19 | 18 |

Baseline Characteristics

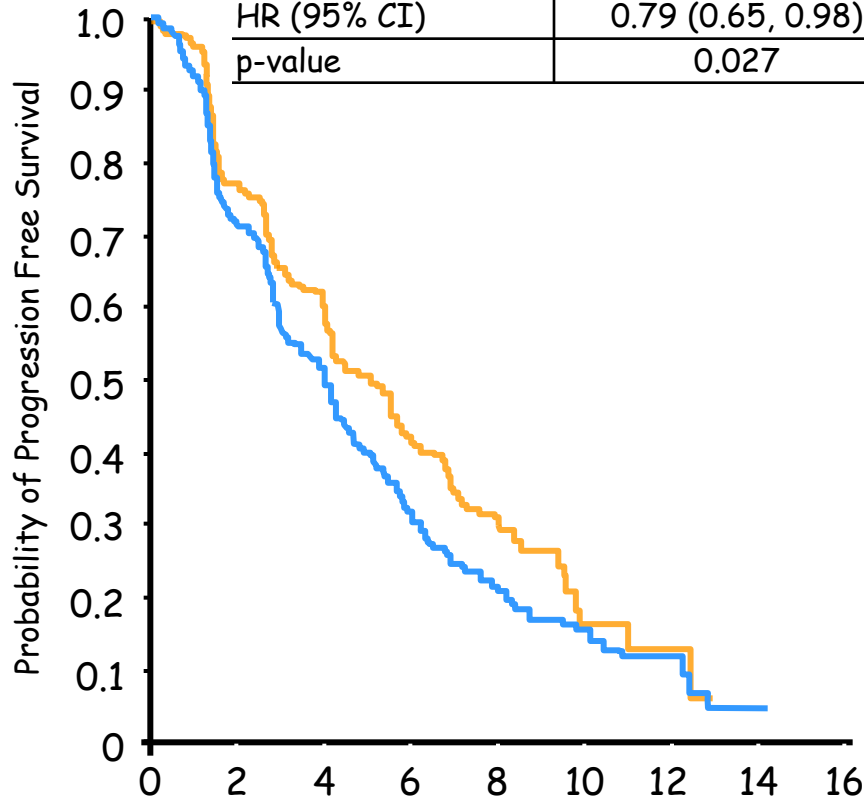
| | GC N=258 | GCI N=261 |
|---|-------------|--------------|
| Patients with prior chemotherapies n, % | 232 (90) | 231 (89) |
| Prior neoadjuvant or adjuvant | 204 (79) | 201 (77) |
| Prior metastatic | | |
| 0 | 148* (57) | 147* (56) |
| ≥1 | 110* (43) | 114* (44) |
| Prior Anthracycline | 74 | 70 |
| Prior Taxane | 85 | 83 |
| Prior Bevacizumab** | 32 | 28 |
| Disease Free Interval (DFI) [†] | | |
| Median | 15 months | 12 months |
| ≤ 12 months | 44% | 51% |
| > 12 months | 56% | 49% |
| DFI - 1 st line | (n=149) | (n=148) |
| Median | 15.9 months | 9.5 months |
| DFI - 2 nd /3 rd line | (n=109) | (n =113) |
| Median | 13.8 months | 15.7 months |

Treatment Emergent Adverse Events Safety Population

| AE | GC N= 244 | | GCI N = 255 | |
|---|-----------------|----------------|-----------------|----------------|
| | All Grades % | Grade 3/4 % | All Grades % | Grade 3/4 % |
| Neutropenia | 65 | 53 | 71 | 61 |
| Febrile Neutropenia | 2 | 2 | 2 | 2 |
| Anemia | 62 | 22 | 64 | 18 |
| Thrombocytopenia | 54 | 24 | 54 | 28 |
| Fatigue | 64 | 6 | 71 | 8 |
| Alanine aminotransferase increased | 19 | 6 | 28 | 6 |
| Dyspnea | 27 | 4 | 29 | 6 |
| Deaths within 30 days of last dose*, n (%) | 8 (3.3) | | 16 (6.3) | |
| Adverse Event | 2 (0.8) | | 4 (1.6) | |

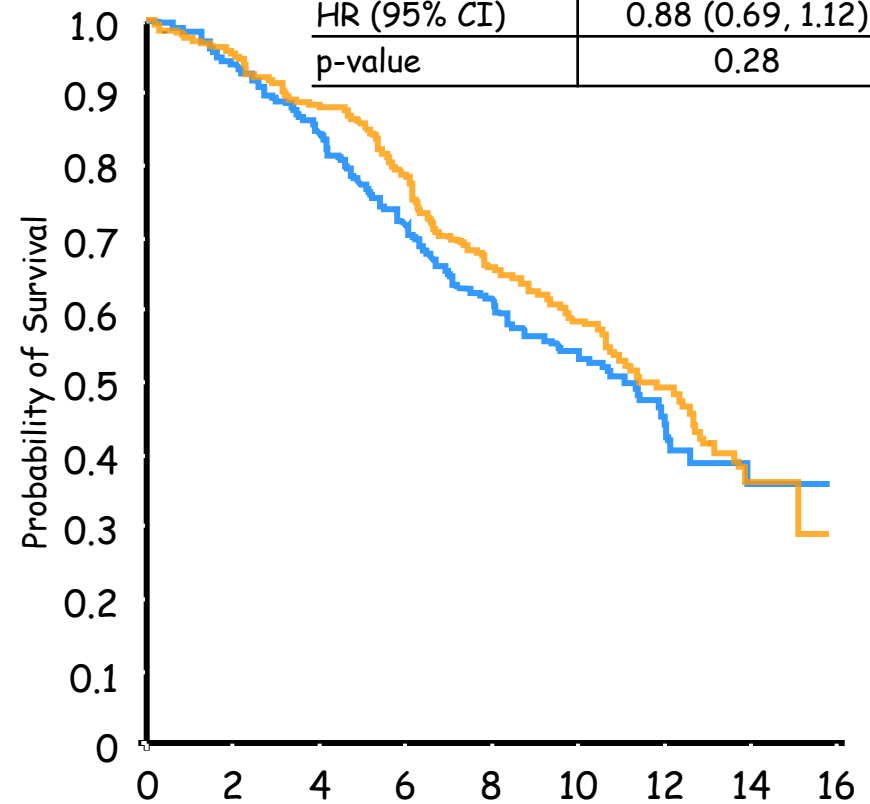
Efficacy Endpoints - ITT population

| PFS | GC (N=258) | GCI (N=261) |
|-----------------------------|-------------------|-------------------|
| Median PFS, mos (95% CI) | 4.1 (3.1, 4.6) | 5.1 (4.2, 5.8) |
| HR (95% CI) | 0.79 (0.65, 0.98) | |
| p-value | 0.027 | |



| No. at risk | | | | | | | | | |
|-------------|-----|-----|-----|----|----|----|---|---|---|
| GC | 258 | 171 | 116 | 63 | 38 | 18 | 6 | 1 | 0 |
| GCI | 261 | 187 | 138 | 83 | 53 | 11 | 2 | 0 | 0 |

| OS | GC (N=258) | GCI (N=261) |
|----------------------------|---------------------|----------------------|
| Median OS, mos (95% CI) | 11.1 (9.2, 12.1) | 11.8 (10.6, 12.9) |
| HR (95% CI) | 0.88 (0.69, 1.12) | |
| p-value | 0.28 | |

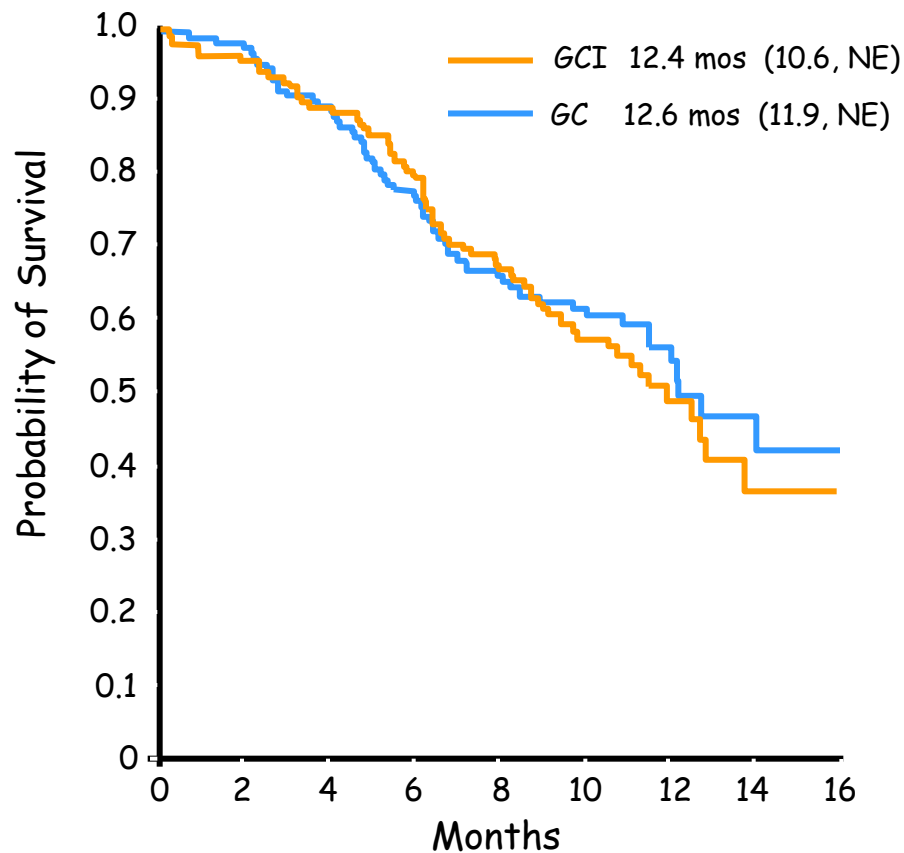
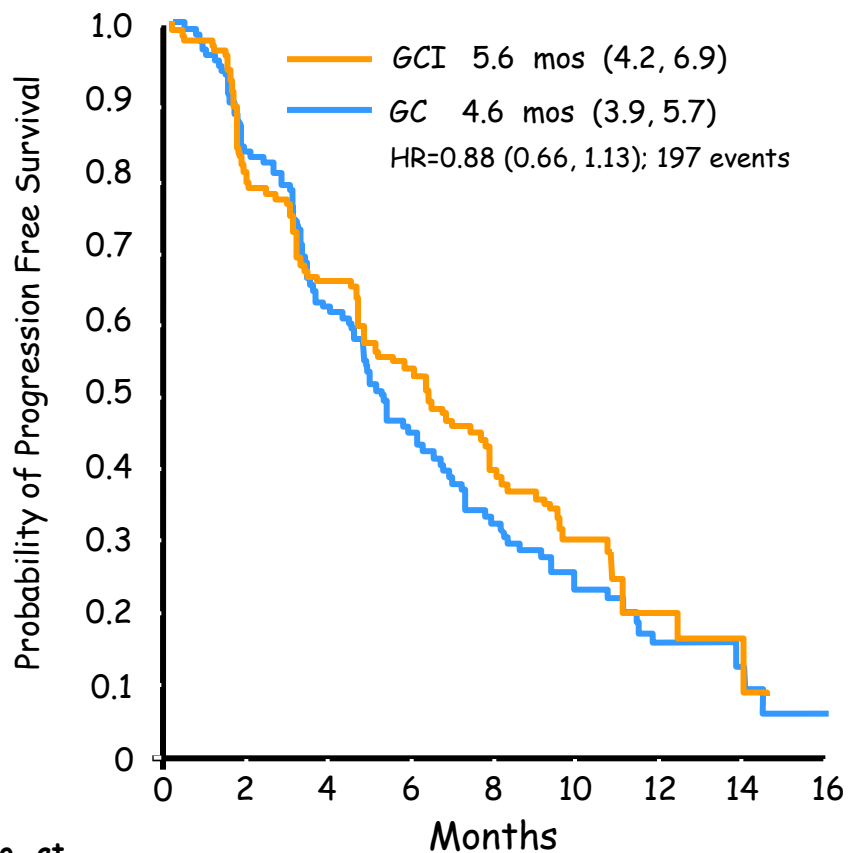


| No. at risk | | | | | | | | | |
|-------------|-----|-----|-----|-----|-----|-----|----|----|---|
| GC | 258 | 239 | 214 | 181 | 151 | 99 | 38 | 11 | 0 |
| GCI | 261 | 248 | 230 | 204 | 169 | 111 | 52 | 15 | 0 |

Overall Response Rate* - ITT Population

| Response, n (%) | GC N = 258 | GCI N = 261 |
|---|---------------------|---------------------|
| Complete response | 4 (1.6) | 5 (1.9) |
| Partial response | 74 (29) | 83 (32) |
| Stable disease | 89 (35) | 99 (38) |
| Progressive disease | 62 (24) | 62 (24) |
| Inevaluable | 29 (11) | 12 (4.6) |
| SD > 6 months | 14 (5.4) | 19 (7.3) |
| ORR, n (%) (95% CI) | 78 (30) (25–36%) | 88 (34) (28–40%) |
| Clinical Benefit Rate, n (%) [CR +PR +SD(> 6 mos)] | 92 (36) | 107 (41) |

Exploratory Analysis 1st -line ITT Population



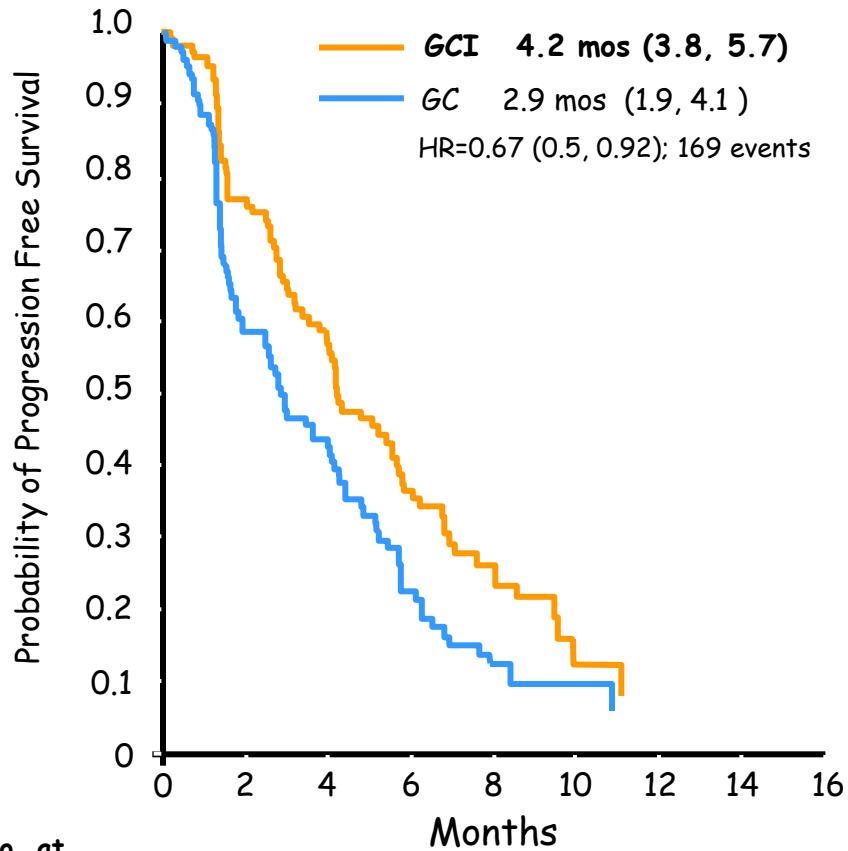
No. at risk

| | | | | | | | | | |
|-----|-----|-----|----|----|----|----|---|---|---|
| GC | 149 | 110 | 74 | 44 | 29 | 13 | 5 | 1 | 0 |
| GCI | 148 | 106 | 79 | 51 | 35 | 7 | 2 | 0 | 0 |

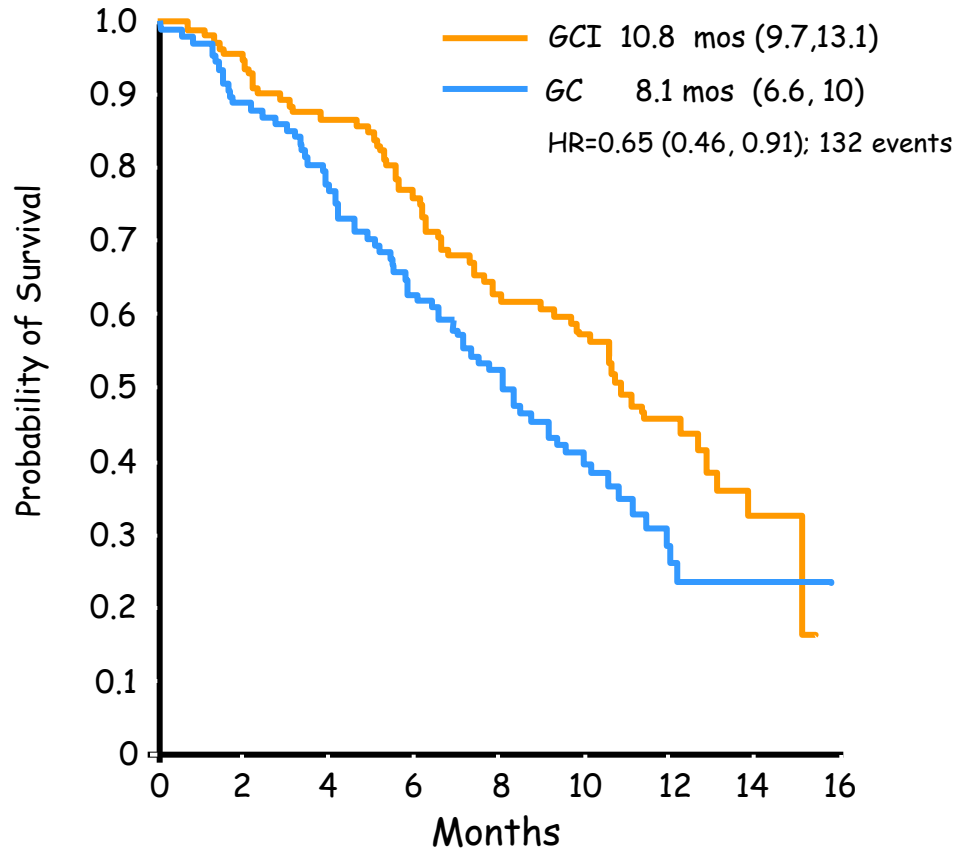
| | | | | | | | | | |
|-----|-----|-----|-----|-----|----|----|----|---|---|
| GC | 149 | 143 | 130 | 113 | 97 | 70 | 27 | 9 | 0 |
| GCI | 148 | 141 | 132 | 118 | 99 | 64 | 28 | 6 | 0 |

Exploratory Analysis 2nd /3rd-line ITT Population

PFS



OS



No. at risk

| | | | | | | | | | |
|-----|-----|----|----|----|----|---|---|---|---|
| GC | 109 | 61 | 42 | 19 | 9 | 5 | 1 | 0 | 0 |
| GCI | 113 | 81 | 59 | 32 | 18 | 4 | 0 | 0 | 0 |

| | | | | | | | | | |
|-----|-----|-----|----|----|----|----|----|---|---|
| GC | 109 | 96 | 84 | 68 | 54 | 29 | 11 | 2 | 0 |
| GCI | 113 | 107 | 98 | 86 | 70 | 47 | 24 | 9 | 0 |

Multivariate Analysis - OS

Evaluate impact of imbalances in specific baseline characteristics on OS per multivariate analyses as specified in the statistical analysis plan (SAP)

Analyses based on :

Pre-specified baseline factors: age, disease burden, ECOG PS, line of therapy, race, time since diagnosis of mTNBC, visceral disease, and elevated alkaline phosphatase

Pre-specified baseline factors above - but replace time since diagnosis of mTNBC with Disease Free Interval from primary BC surgery to onset of metastatic disease

Treatment Estimates for OS determined using Multivariate Cox Model

| | ITT Population | | 1 st -line | | 2 nd /3 rd -line | |
|---|----------------|-------|-----------------------|-------|--|-------|
| | HR | p | HR | p | HR | p |
| Unadjusted | 0.88 | 0.28 | 1.1 | 0.56 | 0.65 | 0.012 |
| Using pre-specified baseline factors | 0.81 | 0.08* | 0.91 | 0.62* | 0.72 | 0.07* |
| Using pre-specified baseline factors with DFI replacement | 0.78 | 0.05* | 0.83 | 0.32* | 0.71 | 0.05* |

Multivariate Analysis - PFS

Evaluate impact of imbalances in specific baseline characteristics on PFS

Analyses as described

Treatment Estimates for PFS determined using
Multivariate Cox Model

| | ITT Population | | 1 st -line | | 2 nd /3 rd -line | |
|---|----------------|--------|-----------------------|--------|--|--------|
| | HR | p | HR | p | HR | p |
| Unadjusted | 0.79 | 0.027 | 0.88 | 0.37 | 0.67 | 0.011 |
| Using pre-specified baseline factors | 0.75 | 0.006* | 0.81 | 0.15* | 0.72 | 0.033* |
| Using pre-specified baseline factors with DFI replacement | 0.74 | 0.004* | 0.80 | 0.117* | 0.71 | 0.031* |

Conclusions

The addition of iniparib to *GC* did not improve PFS or OS according to the pre-specified criteria for these co-primary endpoints

96% of *GC* patients eligible for crossover at time of analysis crossed over to *GCI* and received median of 2 cycles of therapy

Exploratory analyses of PFS and OS by prior therapy suggests:

Potential efficacy benefit among 2nd/3rd line patients

Confirmatory study needed

GCI safety profile confirmed; toxicity comparable to *GC* arm

mTNBC population is highly heterogeneous on intrinsic subtyping

Biomarker analyses underway to evaluate patient populations that may benefit from iniparib

LANDSCAPE: a FNCLCC phase II study
with lapatinib and capecitabine in patients
with brain metastases from HER2-positive
metastatic breast cancer before whole
brain radiotherapy

Thomas BACHELOT, Gilles ROMIEU, Mario CAMPONE,
Véronique DIERAS, Claire CROPET, Florence DALENC,
Marta JIMENEZ, Emilie LE RHUN, Jean-Yves PIERGA,
Anthony GONCALVES, Marianne LEHEURTEUR, Julien
DOMONT, Maya GUTIERREZ, Hervé CURE, Jean-Marc
FERRERO, Catherine LABBE- DEVILLIERS

Brain metastases are an important issue in the management of HER2+ metastatic breast cancer patients

- Incidence up to 30 to 40 %
- Strong contribution to morbidity and mortality
- Few therapeutic options beside whole brain radiation therapy (WBR) when multiple localizations

Lapatinib and capecitabine

- Have been approved for trastuzumab resistant HER2+ MBC
 - Objective response rate: 23% (95% CI: 16-29)
 - Median time to progression: 6.2 months
- Have shown notable activity in patients with progressive BM after WBR
 - CNS volumetric response rate: 20% (95% CI: 3-33.7)
 - Median time to progression: 3.65 months (95% CI: 2.4-4.4)

LANDSCAPE PROTOCOL

Objective :

- To assess the clinical benefit of lapatinib plus capecitabine in combination for BM in HER2+ MBC patients not previously treated with WBR

Upfront systemic treatment of patients with BM allows:

- *Concomitant treatment of extra CNS disease*
- *Delay WBR and associated toxicities*

LANDSCAPE PROTOCOL

- Key Inclusion Criteria
 - HER2+ MBC
 - Newly diagnosed brain metastases, at least 1 cm in diameter (T1 gado. MRI)
 - Not candidate for brain surgery
 - Any previous treatment except WBR, lapatinib or capecitabine
 - ECOG PS status 0-2
- Treatment: L: 1,250 mg/d, PO, continuous
C: 2,000 mg/m²/d, PO, d1-14 q3weeks
- Clinical assessment (including NSS) every 3 weeks
- Cerebral and systemic imaging every 6 weeks

LANDSCAPE PROTOCOL

- Primary endpoint

- Centrally assessed CNS objective response (CNS-OR) defined as a $\geq 50\%$ volumetric reduction of CNS lesions¹
 - in the absence of: increasing steroid use
progressive neurologic symptoms
progressive extra-CNS disease

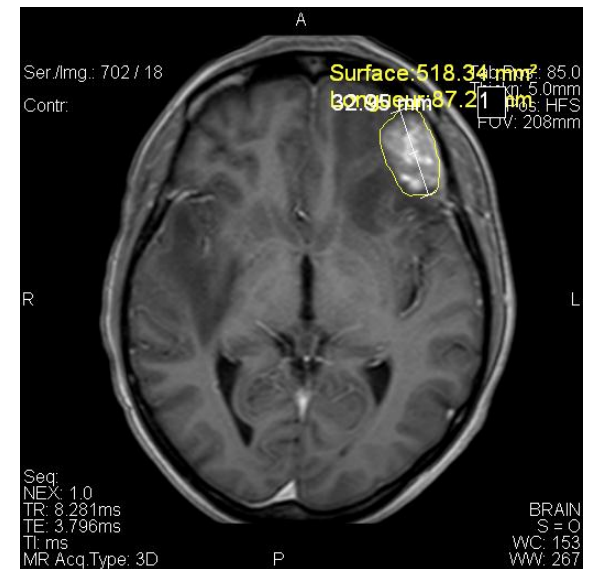
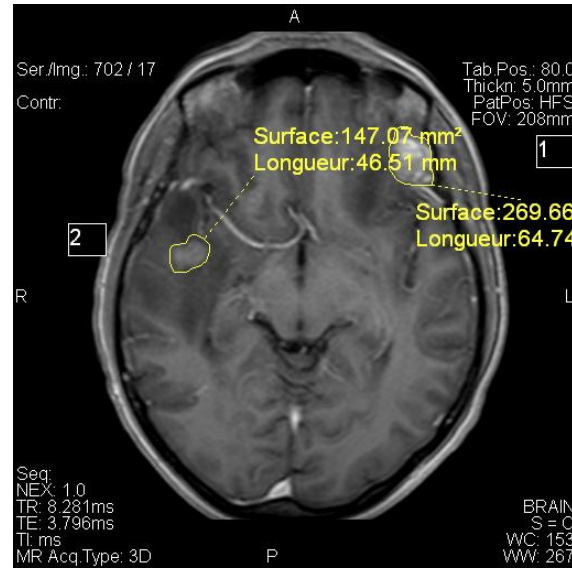
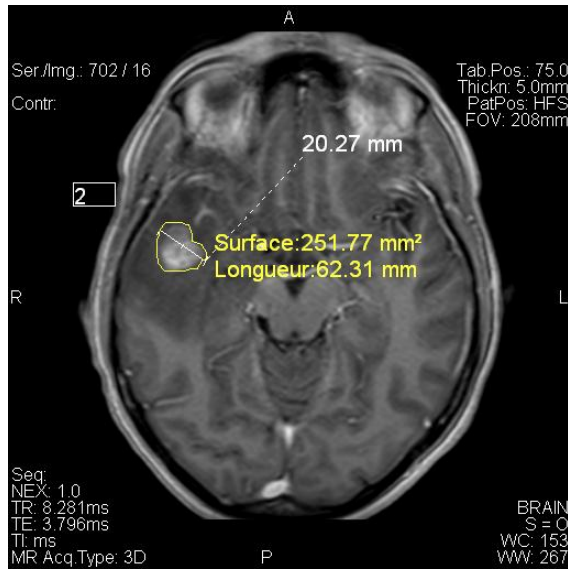
- Secondary endpoints

- Time to progression (CNS and extra-CNS)
- Safety
- Time to WBR
- Prognostic and predictive value of circulating tumor cells (CTC) at baseline and day 21 (CellSearch® system)

Efficacy assessment

Centrally and blinded volumetric assessment of CNS lesions

- Whole brain, T1 Gado.; axial view, 5mm thickness
- All target lesions contoured across all slices,
- Tumor volume = $\Sigma(\text{outlined surfaces} * \text{slice thickness})$



Patient Characteristics (n=45)

| | |
|--|-------------------------|
| Median age, years (range) < 60 years, n (%) | 56 (35-79) 26 (57.8) |
| ECOG PS, n (%)* | |
| 0 | 17 (38.6) |
| 1 | 25 (56.8) |
| 2 | 2 (4.5) |
| Hormone receptor status, n (%)* | |
| ER + and/or PR+ | 22 (50) |
| ER- and PR- | 22 (50) |
| Breast cancer GPA index ¹ , n(%)* | |
| 1 | 0 |
| 2 | 0 |
| 3 | 22 (50) |
| 4 | 22 (50) |

*1 missing value

Patient Characteristics (n=45)

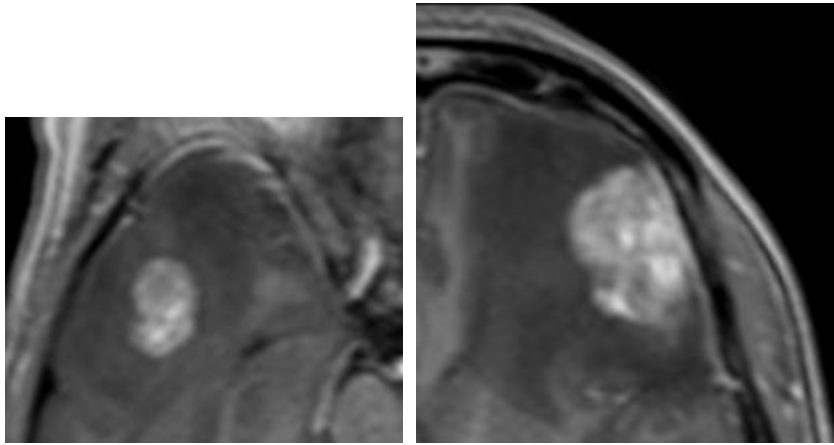
| | |
|--|---|
| Median disease free interval, mo. (range) | 34.2 (0-205) |
| Median time from metastatic relapse to inclusion, mo. (range) | 9.7 (0-114) |
| Disease extension, CNS Median number of CNS lesions (range) 1 CNS lesion, n (%) Patients with NSS at inclusion, n (%) | 3 (1- >25) 6 (13.3) 25 (55.6) |
| Disease extension, extra-CNS, n (%) No extra-CNS Liver Lung 3 or more | 7 (15.6) 22 (48.9) 16 (35.6) 14 (31.1) |
| Previous trastuzumab treatment, n (%) No trastuzumab Adjuvant only Metastatic +/- adjuvant | 3 (6.7) 11 (25) 31 (68.9) |

Primary Endpoint: CNS volumetric response

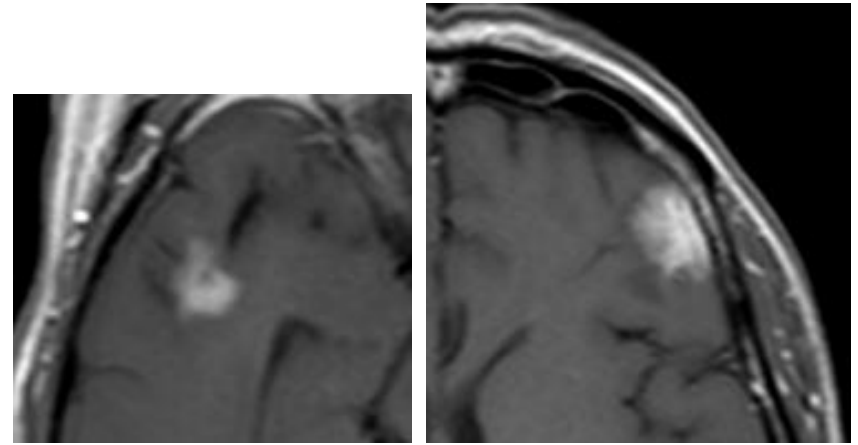
| CNS Volumetric change | n = 43 (%) | |
|-----------------------|------------|--------|
| ≥ 80% Reduction | 9 | (20.9) |
| 50- <80% Reduction | 20 | (46.5) |
| 20- <50% Reduction | 6 | (14) |
| > 0- <20% Reduction | 2 | (4.7) |
| Progression* | 6 | (14) |

NSS improvement : $14/24 = 58.3\%$ (95% CI: 36.6-77.9)

Bone and pulmonary mets: trastuzumab + paclitaxel
Progression and multiple brain mets: October 2009



October 23, 2009



January 27, 2010

Volumetric reduction: 70%

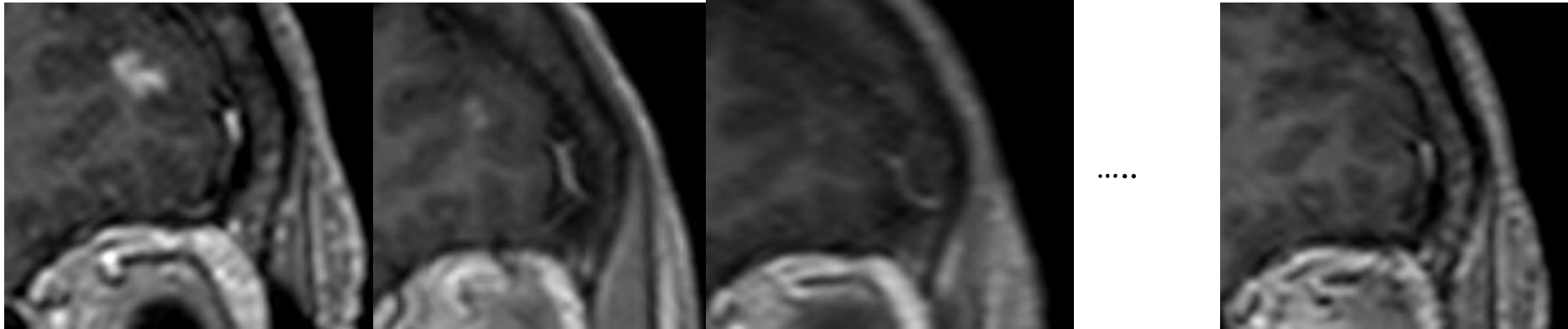
CNS 1 progression : June 4, 2010

WBR : July 8, 2010

43-year-old patient, left breast cancer pT1pN1: June 2006

Bone, liver, pulmonary mets: March 2009, trastu. + paclitaxel

Symptomatic multiple brain mets (25): June 2009



July 6, 2009

August 20, 2009

Oct. 1, 2009

July 23, 2010

Volumetric reduction: 98%

Still on treatment after 13 months (1 dose reduction)

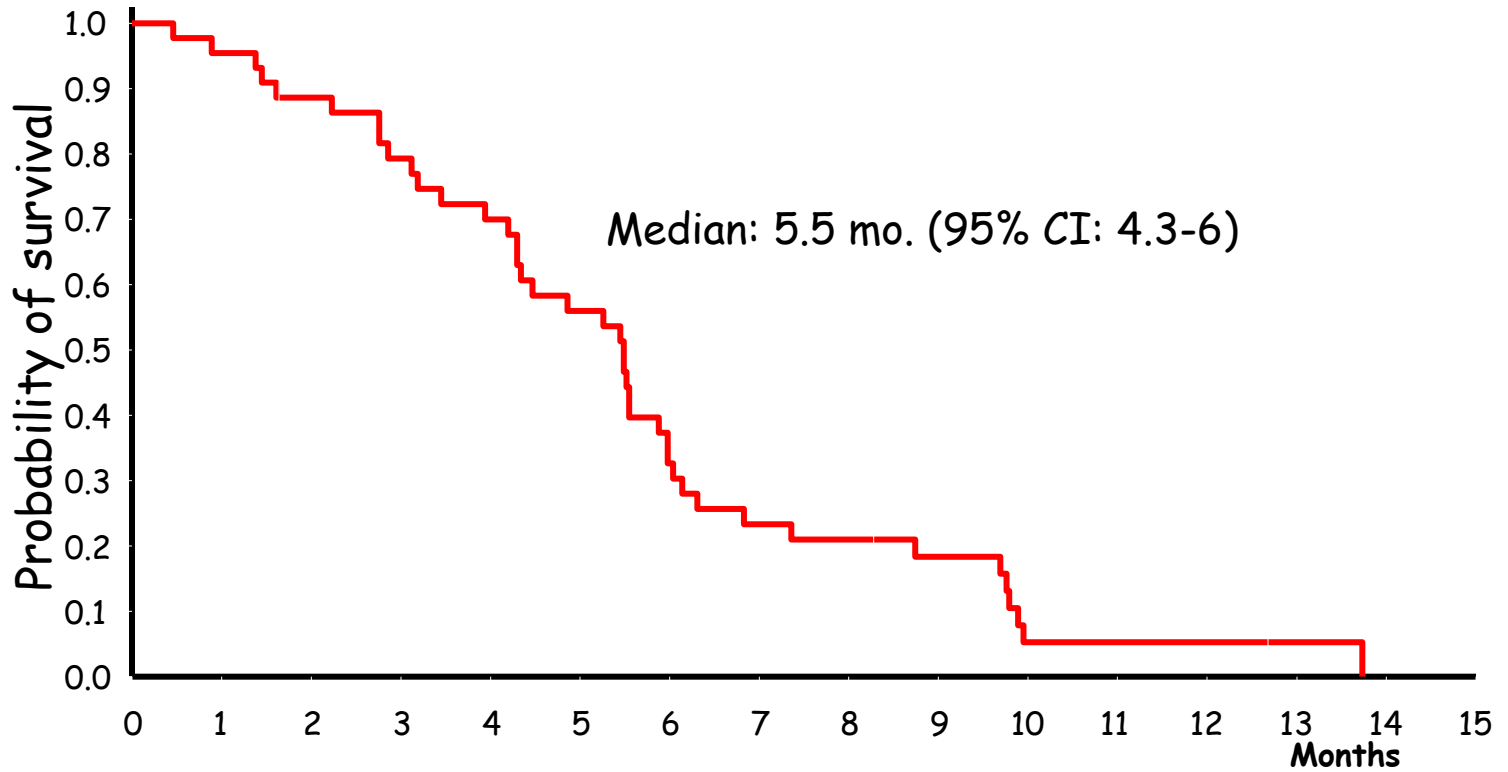
Extra-CNS RECIST response

Extra-CNS-OR : $15/35 = 42.9\%$ (95% CI: 26-61)

| Extra-CNS RECIST evaluation | n = 35 (%) | |
|-----------------------------|------------|--------|
| Complete response | 1 | (2.9) |
| Partial response | 14 | (40) |
| Stable disease | 16 | (45.7) |
| Progression | 4 | (11.4) |

- 7 patients had no extra-CNS disease
- 2 patients had no RECIST evaluable lesions

Time to progression



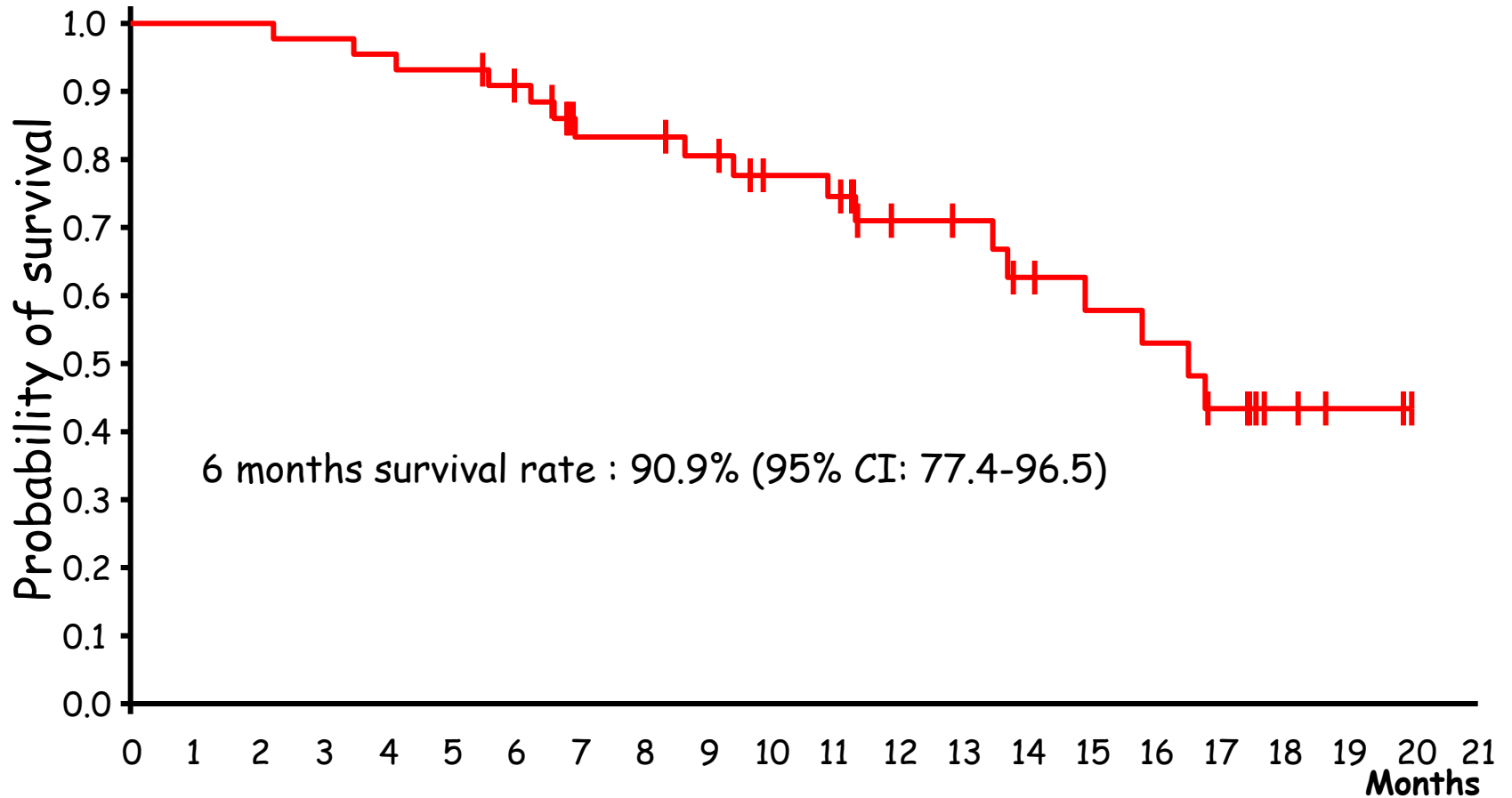
Patients at risk: 44 42 38 34 30 24 14 10 9 7 2 2 2 1

| Site of first progression | n = 43 (%) | |
|-----------------------------|------------|--------|
| CNS | 32 | (73.4) |
| Extra CNS | 3 | (7) |
| Concomitant CNS & extra CNS | 5 | (11.6) |

Time to WBR

- Data were available for 43 patients
- At time of analysis, 32 (74.4%) had received WBR
- Median time to WBR is 7.8 mo. (95% CI: 5.4-9.1)

Overall Survival



Patients at risk

44 44 44 43 42 41 38 31 31 29 25 24 18 17 14 12 11 8 4 2

Adverse Events

| Incidence, n (%) | n = 45 | |
|-------------------------------------|--------------|-----------|
| Grade | Any | 3/4 |
| Patients with at least one SAE | 14 (31.1) | |
| Most Common Adverse Events | | |
| Diarrhea | 38 (84.4) | 9 (20) |
| Hand foot syndrome | 34 (75.5) | 9 (20) |
| Fatigue | 22 (48.9) | 6 (13.3) |
| Rash | 11 (24.4) | 2 (4.4) |
| Nausea | 23 (51.1) | 1 (2.2) |
| Bilirubin increase | 21 (46.6) | 1 (2.2) |
| Vomiting | 16 (35.5) | 1 (2.2) |
| Stomatitis | 13 (28.9) | 1 (2.2) |
| Dose reduction due to AE | Lapatinib | 17 (37.8) |
| | Capecitabine | 26 (57.8) |
| Treatment discontinuation due to AE | 3 (6.7) | |

No toxic death

CNS volumetric response

Selected subgroup analysis

| CNS-OR, n (%) | n=43 |
|------------------------------------|----------------|
| ALL | 29/43 (67.4) |
| GPA index = 3 | 14 / 20 (70) |
| GPA index = 4 | 14 / 22 (63.6) |
| 1 or 2 CNS lesions | 13 / 20 (65) |
| ≥ 3 CNS lesions | 16 / 22 (72.7) |
| Patients with NSS at inclusion | 16 / 23 (69.6) |
| Patients without NSS at inclusion | 13 / 20 (65) |
| Previous metastatic trastuzumab | 20 / 29 (69) |
| No previous metastatic trastuzumab | 9 / 14 (64.3) |

Conclusions

L+C for newly diagnosed BM in HER2+ MBC:

- L+C is highly active for untreated BM
 - CNS volumetric response rate was 67% (95% CI: 51-81)
 - Median TTP was 5.5 months
- This combination warrants further evaluation
 - Phase III trial
 - Multimodal therapy with surgery/SRS
 - Prevention strategy